

Jaffe v. Household, Int'l – Phase II Discovery

	Entity	Discovery Served		Response
1	Aberdeen Asset	1) Notice of Rule 30(b)(6) Deposition to Class Member Aberdeen Asset	_ /	Withdrawn
	Managers Ltd.	Managers Ltd. (1-13-11)		Withdrawn
		2) Defendants' First Set of Interrogatories to Class Member Aberdeen Asset		Withdrawn
		Managers Ltd. (1-13-11)		Aberdeen Asset Managers LTD's Responses and
		3) Defendants' First Set of Document Requests to Class Member Aberdeen	l .	Objections to Defendants' Revised Document
		Asset Managers Ltd. (1-13-11)		Requests (3-8-11), and subsequent production of
		4) Defendants' Revised Document Requests to Class Member Aberdeen Asset		documents
		Managers LTD (2-3-11)		Aberdeen Asset Managers LTD's Responses and
		5) Defendants' Revised Interrogatories to Class Member Aberdeen Asset	l .	Objections to Defendants' Revised Interrogatories
		Managers LTD (2-3-11)		(3-8-11)
2	Adage Capital	1) Notice of Rule 30(b)(6) Deposition to Class Member Adage Capital	/	Withdrawn
	Management, LP	Management, LP (1-13-11)		Withdrawn Withdrawn
	LP	 Defendants' First Set of Interrogatories to Class Member Adage Capital Management, LP (1-13-11) 	3)	wiindrawn
		3) Defendants' First Set of Document Requests to Class Member Adage		
		Capital Management, LP (1-13-11)		
		4) Defendants' Revised Document Requests to Class Member Adage Capital		
		Management, LP (2-3-11)		
		5) Defendants' Revised Interrogatories to Class Member Adage Capital		
		Management, LP (2-3-11)		
3	Advisory	1) Notice of Rule 30(b)(6) Deposition to Class Member Advisory Research	1) '	Withdrawn
	Research, Inc.	Inc. (1-13-11)	2)	Withdrawn
		2) Defendants' First Set of Interrogatories to Class Member Advisory	3)	Withdrawn
		Research Inc. (1-13-11)		
		3) Defendants' First Set of Document Requests to Class Member Advisory		
		Research Inc. (1-13-11)		
		4) Defendants' Revised Document Requests to Class Member Advisory		
		Research, Inc. (2-3-11)		
		5) Defendants' Revised Interrogatories to Class Member Advisory Research, Inc. (2-3-11)		
4	AIM	1) Notice of Rule 30(b)(6) Deposition to Class Member AIM Management	1) '	Withdrawn (1-27-11)
	Management	Group, Inc. (1-13-11)		Withdrawn (1-27-11)
	Group, Inc.	2) Defendants' First Set of Interrogatories to Class Member AIM Management		Withdrawn (1-27-11)
	210up, 1110.	Group, Inc. (1-13-11)		
		3) Defendants' First Set of Document Requests to Class Member AIM		
		Management Group, Inc. (1-13-11)		
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	Entity	Discovery Served	Response
		4) Defendants' Revised Document Requests to Class Member AIM Management Group, Inc. (2-3-11)	
		5) Defendants' Revised Interrogatories to Class Member AIM Management Group, Inc. (2-3-11)	
5	Algemeen Burgerlijk Pensioenfonds	 Notice of Rule 30(b)(6) Deposition to Class Member Algemeen Burgerlijk Pensioenfonds (1-14-11) Defendants' First Set of Interrogatories to Class Member Algemeen Burgerlijk Pensioenfonds (1-14-11) Defendants' First Set of Document Requests to Class Member Algemeen Burgerlijk Pensioenfonds (1-14-11) Defendants' Revised Document Requests to Class Member Algemeen Burgerlijk Pensioenfonds (2-3-11) Defendants' Revised Interrogatories to Class Member Algemeen Burgerlijk Pensioenfonds (2-3-11) 	1) Withdrawn 2) Withdrawn 3) Withdrawn
6	Alliance Funds	1) Defendants' First Set of Interrogatories [to Class Members Alliance Growth & Income Fund, AST Alliance Growth and Income Portfolio and EQ/Alliance Growth and Income Portfolio] (3-21-11) 2) Defendants' First Set of Document Requests [to Class Members Alliance Growth & Income Fund, AST Alliance Growth and Income Portfolio and EQ/Alliance Growth and Income Portfolio] (3-21-11)	1-2) Written Responses and Objections to the Interrogatories and Document Requests (4-5-11)
7	Alliance Bernstein, L.P.	 Notice of Subpoena [to Alliance Bernstein]; Subpoena to Produce Documents, Information, or Objects or to Permit Inspection of Premises (3- 22-11) Notice of Subpoena [to Alliance Bernstein]; Subpoena to Testify at a Deposition or to Produce Documents in a Civil Action (5-2-11) 	2) Withdrawn
8	Allianz Dresdner Asset Management AM	 Notice of Rule 30(b)(6) Deposition to Class Member Allianz Dresdner Asset Management AM (1-14-11) Defendants' First Set of Interrogatories to Class Member Allianz Dresdner Asset Management AM (1-14-11) Defendants' First Set of Document Requests to Class Member Allianz Dresdner Asset Management AM (1-14-11) Defendants' Revised Document Requests to Class Member Allianz Dresdner Asset Management AM (2-3-11) Defendants' Revised Interrogatories to Class Member Allianz Dresdner Asset Management AM (2-3-11) 	1) Withdrawn 2) Withdrawn 3) Withdrawn

	Entity	Discovery Served	Response
9	Allianz of	1) Notice of Rule 30(b)(6) Deposition to Class Member Allianz of America,	1) Withdrawn
	America, Inc.	Inc. (1-13-11)	2) Withdrawn
		2) Defendants' First Set of Interrogatories to Class Member Allianz of	3) Withdrawn
		America, Inc. (1-13-11)	4) Allianz of America Inc.'s Responses and
		3) Defendants' First Set of Document Requests to Class Member Allianz of	Objection to Defendants' Revised Document Requests (3-21-11), and subsequent production of
		America, Inc. (1-13-11) 4) Defendants' Revised Document Requests to Class Member Allianz of	documents
		America, Inc. (2-3-11)	5) Allianz of America Inc.'s Responses and
		5) Defendants' Revised Interrogatories to Class Member Allianz of America,	Objection to Defendants' Revised Interrogatories
		Inc. (2-3-11)	(3-21-11)
10	American	1) Notice of Rule 30(b)(6) Deposition to Class Member American Express	1) Withdrawn
	Express	Financial Advisors (1-13-11)	2) Withdrawn
	Financial	2) Defendants' First Set of Interrogatories to Class Member American Express	3) Withdrawn
	Advisors	Financial Advisors (1-13-11)	
		3) Defendants' First Set of Document Requests to Class Member American	
		Express Financial Advisors (1-13-11)	
		4) Defendants' Revised Document Requests to Class Member American	
		Express Financial Advisors (2-3-11) 5) Defendants' Revised Interrogatories to Class Member American Express	
		Financial Advisors (2-3-11)	
11	American	1) Defendants' First Set of Document Requests [to Class Members American	1) The American Funds' Responses to Defendants'
	Funds	Balanced Fund, American Mutual Fund, American Funds Insurance Series	Document Requests (4-29-11), and subsequent
		 Asset Allocation Fund, American Funds Insurance Series – Blue Chip 	production of documents
		Income and Growth Fund, American Funds Insurance Series – Growth	2) The American Funds' Responses to Defendants'
		Fund, American Funds Insurance Series - Growth Income Fund, Growth	Interrogatories (4-29-11)
		Fund of America, Investment Company of America and Washington	
		Mutual Investors Fund] (3-18-11)	
		2) Defendants' First Set of Interrogatories [to Class Members American	
		Balanced Fund, American Mutual Fund, American Funds Insurance Series – Asset Allocation Fund, American Funds Insurance Series – Blue Chip	
		Income and Growth Fund, American Funds Insurance Series – Growth	
		Fund, American Funds Insurance Series - Growth Income Fund, Growth	
		Fund of America, Investment Company of America and Washington	
		Mutual Investors Fund] (3-18-11)	
12	American	1) Notice of Rule 30(b)(6) Deposition to Class Member American	1) Withdrawn
	International	International Group Inc (1-20-11)	2) Withdrawn
	Group Inc	2) Defendants' First Set of Interrogatories to Class Member American	3) Withdrawn
		International Group Inc (1-20-11)	4-5) Letter response (3-21-11)

	Entity	Discovery Served	Response
		 Defendants' First Set of Document Requests to Class Member American International Group Inc (1-20-11) Defendants' Revised Document Requests to Class Member American International Group Inc (2-3-11) Defendants' Revised Interrogatories to Class Member American 	
13	Amvescap PLC	 International Group Inc (2-3-11) Notice of Rule 30(b)(6) Deposition to Class Member Amvescap PLC (1-13-11) Defendants' First Set of Interrogatories to Class Member Amvescap PLC (1-13-11) Defendants' First Set of Document Requests to Class Member Amvescap PLC (1-13-11) Defendants' Revised Document Requests to Class Member Amvescap PLC (2-3-11) Defendants' Revised Interrogatories to Class Member Amvescap PLC (2-3-11) 	1) Withdrawn (1-27-11) 2) Withdrawn (1-27-11) 3) Withdrawn (1-27-11)
14	Analytic Investors, Inc.	 Notice of Rule 30(b)(6) Deposition to Class Member Analytic Investors, Inc. (1-13-11) Defendants' First Set of Interrogatories to Class Member Analytic Investors, Inc. (1-13-11) Defendants' First Set of Document Requests to Class Member Analytic Investors, Inc. (1-13-11) Defendants' Revised Document Requests to Class Member Analytic Investors, Inc. (2-3-11) Defendants' Revised Interrogatories to Class Member Analytic Investors, Inc. (2-3-11) 	1) Withdrawn 2) Withdrawn 3) Withdrawn 4) Withdrawn (2-7-11) 5) Withdrawn (2-7-11)
15	Archdiocese of Milwaukee Supporting Fund, Inc.	 Notice of Rule 30(b)(6) Deposition to Class Member Archdiocese of Milwaukee Supporting Fund, Inc. (1-14-11) Defendants' First Set of Interrogatories to Class Member Archdiocese of Milwaukee Supporting Fund, Inc. (1-14-11) Defendants' First Set of Document Requests to Class Member Archdiocese of Milwaukee Supporting Fund, Inc. (1-14-11) Defendants' Revised Document Requests to Class Member Archdiocese of Milwaukee Supporting Fund, Inc. (2-3-11) Defendants' Revised Interrogatories to Class Member Archdiocese of Milwaukee Supporting Fund, Inc. (2-3-11) 	1) Withdrawn 2) Withdrawn 3) Withdrawn
16	Aronson + Johnson + Ortiz	1) Notice of Rule 30(b)(6) Deposition to Class Member Aronson + Johnson + Orrtiz (1-13-11)	 Withdrawn Withdrawn

	Entity	Discovery Served	Response
		2) Defendants' First Set of Interrogatories to Class Member Aronson +	3) Withdrawn
		Johnson + Orrtiz (1-13-11)	4) Withdrawn (2-14-11)
		3) Defendants' First Set of Document Requests to Class Member Aronson +	5) Withdrawn (2-14-11)
		Johnson + Orrtiz (1-13-11)	6) Aronson + Johnson + Orrtiz, L.P.'s Objections to
		4) Defendants' Revised Document Requests to Class Member Aronson +	Subpoena to Produce Documents (4-19-11), and
		Johnson + Orrtiz (2-3-11)	subsequent production of documents
		5) Defendants' Revised Interrogatories to Class Member Aronson + Johnson +	
		Orrtiz (2-3-11)	
		6) Notice of Subpoena [to Aronson & Johnson & Ortiz L.P.]; Subpoena to	
		Produce Documents, Information, or Objects or to Permit Inspection of	
<u> </u>		Premises (3-22-11)	
17	ASB Capital	1) Notice of Rule 30(b)(6) Deposition to Class Member ASB Capital	1) Withdrawn
	Management,	Management, Inc. (1-13-11)	2) Withdrawn
	Inc.	2) Defendants' First Set of Interrogatories to Class Member ASB Capital	3) Withdrawn
		Management, Inc. (1-13-11) 2) Defendents' First Set of Decument Requests to Class Member ASR Conital	
		3) Defendants' First Set of Document Requests to Class Member ASB Capital Management, Inc. (1-13-11)	
		4) Defendants' Revised Document Requests to Class Member ASB Capital	
		Management, Inc. (2-3-11)	
		5) Defendants' Revised Interrogatories to Class Member ASB Capital	
		Management, Inc. (2-3-11)	
18	AXA Financial,	1) Notice of Rule 30(b)(6) Deposition to Class Member AXA Financial, Inc.	1) Withdrawn
	Inc.	(1-13-11)	2) Withdrawn
		2) Defendants' First Set of Interrogatories to Class Member AXA Financial,	3) Withdrawn
		Inc. (1-13-11)	4-5) Letter response (3-3-11)
		3) Defendants' First Set of Document Requests to Class Member AXA	
		Financial, Inc. (1-13-11)	
		4) Defendants' Revised Document Requests to Class Member AXA Financial,	
		Inc. (2-3-11)	
		5) Defendants' Revised Interrogatories to Class Member AXA Financial, Inc.	
10	D 1 6	(2-3-11)	4) 7774 1
19	Bank of	1) Notice of Rule 30(b)(6) Deposition to Class Member Bank of America	1) Withdrawn
	America	Corporation (1-20-11)	2) Withdrawn
	Corporation	2) Defendants' First Set of Interrogatories to Class Member Bank of America	3) Withdrawn 4) Pools of America Corporation's Peanonese to
		Corporation (1-20-11) 3) Defendants' First Set of Document Requests to Class Member Bank of	4) Bank of America Corporation's Responses to Defendants' Revised Document Requests (3-22-
		3) Defendants' First Set of Document Requests to Class Member Bank of America Corporation (1-20-11)	11)
		4) Defendants' Revised Document Requests to Class Member Bank of	5) Bank of America Corporation's Responses to
		7) Defendants Revised Document Requests to Class Member Bank of	5) Dank of America Corporation's Responses to

	Entity	Discovery Served	Response
		America Corporation (2-3-11) 5) Defendants' Revised Interrogatories to Class Member Bank of America Corporation (2-3-11)	Defendants' Revised Interrogatories (3-22-11)
20	Barclays Bank PLC	 Notice of Rule 30(b)(6) Deposition to Class Member Barclays Bank PLC (1-20-11) Defendants' First Set of Interrogatories to Class Member Barclays Bank PLC (1-20-11) Defendants' First Set of Document Requests to Class Member Barclays Bank PLC (1-20-11) Defendants' Revised Document Requests to Class Member Barclays Bank PLC (2-3-11) Defendants' Revised Interrogatories to Class Member Barclays Bank PLC (2-3-11) 	1) Withdrawn 2) Withdrawn 3) Withdrawn 4) Withdrawn (3-14-11) 5) Withdrawn (3-14-11)
21	Berger LLC	 Notice of Rule 30(b)(6) Deposition to Class Member Berger LLC (1-13-11) Defendants' First Set of Interrogatories to Class Member Berger LLC (1-13-11) Defendants' First Set of Document Requests to Class Member Berger LLC (1-13-11) Defendants' Revised Document Requests to Class Member Berger LLC (2-3-11) Defendants' Revised Interrogatories to Class Member Berger LLC (2-3-11) 	1) Withdrawn 2) Withdrawn 3) Withdrawn 4) Withdrawn (2-15-11) 5) Withdrawn (2-15-11)
22	Berkeley Capital Management	 Notice of Rule 30(b)(6) Deposition to Class Member Berkeley Capital Management (1-13-11) Defendants' First Set of Interrogatories to Class Member Berkeley Capital Management (1-13-11) Defendants' First Set of Document Requests to Class Member Berkeley Capital Management (1-13-11) Defendants' Revised Document Requests to Class Member Berkeley Capital Management (2-3-11) Defendants' Revised Interrogatories to Class Member Berkeley Capital Management (2-3-11) 	1) Withdrawn 2) Withdrawn 3) Withdrawn
23	Bessemer Group Inc.	 Notice of Rule 30(b)(6) Deposition to Class Member Bessemer Group Inc. (1-13-11) Defendants' First Set of Interrogatories to Class Member Bessemer Group Inc. (1-13-11) Defendants' First Set of Document Requests to Class Member Bessemer Group Inc. (1-13-11) Defendants' Revised Document Requests to Class Member Bessemer 	 Withdrawn Withdrawn Withdrawn Bessemer's Responses and Objections to Defendants' Revised Document Requests (3-22- 11) Bessemer's Responses and Objections to

	Entity	Discovery Served	Response
		Group Inc. (2-3-11) 5) Defendants' Revised Interrogatories to Class Member Bessemer Group Inc. (2-3-11)	Defendants' Revised Interrogatories (3-22-11)
24	Blackrock, Inc.	 Notice of Rule 30(b)(6) Deposition to Class Member Blackrock, Inc. (1-13-11) Defendants' First Set of Interrogatories to Class Member Blackrock, Inc. (1-13-11) Defendants' First Set of Document Requests to Class Member Blackrock, Inc. (1-13-11) Defendants' Revised Document Requests to Class Member Blackrock, Inc. (2-3-11) Defendants' Revised Interrogatories to Class Member Blackrock, Inc. (2-3-11) 	1) Withdrawn 2) Withdrawn 3) Withdrawn
25	BNY Mellon Asset Servicing	Notice of Subpoena f [to BNY Mellon Asset Servicing]; Subpoena to Testify at a Deposition or to Produce Documents in a Civil Action (5-18- 11)	
26	Burridge Growth Partners	 Notice of Rule 30(b)(6) Deposition to Class Member Burridge Growth Partners (1-13-11) Defendants' First Set of Interrogatories to Class Member Burridge Growth Partners (1-13-11) Defendants' First Set of Document Requests to Class Member Burridge Growth Partners (1-13-11) Defendants' Revised Document Requests to Class Member Burridge Growth Partners (2-3-11) Defendants' Revised Interrogatories to Class Member Burridge Growth Partners (2-3-11) 	1) Withdrawn 2) Withdrawn 3) Withdrawn 4-5) Letter response (2-9-11)
27	California Public Employees Retirement System	1) Notice of Rule 30(b)(6) Deposition to the California Public Employees' Retirement System (4-21-11)	1) Withdrawn (4-25-11)
28	California State Teachers Retirement System	 Notice of Rule 30(b)(6) Deposition to Class Member California State Teachers Retirement System (1-13-11) Defendants' First Set of Interrogatories to Class Member California State Teachers Retirement System (1-13-11) Defendants' First Set of Document Requests to Class Member California State Teachers Retirement System (1-13-11) Defendants' Revised Document Requests to Class Member California State 	 Withdrawn Withdrawn Withdrawn Class Member California State Teachers' Retirement System Responses to Defendants' Revised Document Requests (3-17-11), and subsequent production of documents

	Entity	Discovery Served		Response
		Teachers Retirement System (2-3-11)	5)	Class Member California State Teachers'
		5) Defendants' Revised Interrogatories to Class Member California State		Retirement System Responses to Defendants'
		Teachers Retirement System (2-3-11)		Revised Interrogatories (3-17-11)
29	Capital	1) Notice of Rule 30(b)(6) Deposition to Class Member Capital Guardian	1)	Withdrawn
	Guardian Trust	Trust Co. (1-13-11)	2)	Withdrawn
	Co.	2) Defendants' First Set of Interrogatories to Class Member Capital Guardian	3)	Withdrawn
		Trust Co. (1-13-11)	4)	Capital Guardian Trust Company and Capital
		3) Defendants' First Set of Document Requests to Class Member Capital		International, Inc.'s Responses to Defendants'
		Guardian Trust Co. (1-13-11)		Revised Document Requests (3-8-11), and
		4) Defendants' Revised Document Requests to Class Member Capital		subsequent production of documents
		Guardian Trust Co. (2-3-11)	5)	Capital Guardian Trust Company and Capital
		5) Defendants' Revised Interrogatories to Class Member Capital Guardian		International, Inc.'s Responses to Defendants'
		Trust Co. (2-3-11)		Revised Interrogatories (3-8-11)
		6) Notice of Rule 30(b)(6) Deposition to Capital Guardian Trust Co. (4-21-11)	6)	Deposition held (5-20-11)
30	Capital	1) Notice of Rule 30(b)(6) Deposition to Class Member Capital International,	1)	Withdrawn
	International,	Inc. (1-14-11)	2)	Withdrawn
	Inc.	2) Defendants' First Set of Interrogatories to Class Member Capital	3)	Withdrawn
		International, Inc. (1-14-11)	4)	Capital Guardian Trust Company and Capital
		3) Defendants' First Set of Document Requests to Class Member Capital		International, Inc.'s Responses to Defendants'
		International, Inc. (1-14-11)		Revised Document Requests (3-8-11), and
		4) Defendants' Revised Document Requests to Class Member Capital		subsequent production of documents
		International, Inc. (2-3-11)	5)	Capital Guardian Trust Company and Capital
		5) Defendants' Revised Interrogatories to Class Member Capital International,		International, Inc.'s Responses to Defendants'
0.4	G 1. 1	Inc. (2-3-11)	1)	Revised Interrogatories (3-8-11)
31	Capital	1) Notice of Subpoena [to Capital Research & Management Company];	1)	Capital Research and Management Company's
	Research &	Subpoena to Produce Documents, Information, or Objects or to Permit		Objections and Responses to Defendants'
	Management	Inspection of Premises (3-22-11)		Subpoena (4-29-11), and subsequent production
	Company	2) Notice of Subpoena [to Capital Research & Management Company];	2)	of documents
		Subpoena to Testify at a Deposition or to Produce Documents in a Civil	2)	Deposition held (5-19-11)
32	Charter all	Action (4-21-11) 1) Nation of Bula 20(b)(6) Deposition to Close Morehon Chartwell Investment	1)	Wish days and
32	Chartwell Investment	1) Notice of Rule 30(b)(6) Deposition to Class Member Chartwell Investment Partners, L.P. (1-13-11)	1) 2)	Withdrawn Withdrawn
	Partners, L.P.	2) Defendants' First Set of Interrogatories to Class Member Chartwell	3)	Withdrawn
	i aitiicis, L.F.	Investment Partners, L.P. (1-13-11)	1 '	Class Member Chartwell Investment Partners,
		3) Defendants' First Set of Document Requests to Class Member Chartwell	+/	L.P.'s Responses to Defendants' Revised
		Investment Partners, L.P. (1-13-11)		Document Requests (3-7-11), and subsequent
		4) Defendants' Revised Document Requests to Class Member Chartwell		production of documents
		Investment Partners, L.P. (2-3-11)	5)	Class Member Chartwell Investment Partners,
	<u> </u>	111 (2 5 11)	13)	Class Monton Chartwon Investment Latinets,

	Entity	Discovery Served	Response
		5) Defendants' Revised Interrogatories to Class Member Chartwell Investment	L.P.'s Responses to Defendants' Revised
		Partners, L.P. (2-3-11)	Interrogatories (3-7-11)
33	Check Capital	1) Notice of Rule 30(b)(6) Deposition to Class Member Check Capital	1) Withdrawn
	Management,	Management, Inc. (1-13-11)	2) Withdrawn
	Inc.	2) Defendants' First Set of Interrogatories to Class Member Check Capital	3) Withdrawn
		Management, Inc. (1-13-11)	4) Withdrawn (2-16-11)
		3) Defendants' First Set of Document Requests to Class Member Check	5) Withdrawn (2-16-11)
		Capital Management, Inc. (1-13-11)	
		4) Defendants' Revised Document Requests to Class Member Check Capital	
		Management, Inc. (2-3-11)	
		5) Defendants' Revised Interrogatories to Class Member Check Capital	
34	Chicago Equity	Management, Inc. (2-3-11) 1) Notice of Rule 30(b)(6) Deposition to Class Member Chicago Equity	1) Withdrawn (1-28-11)
54	Partners, LLC	Partners, LLC (1-13-11)	2) Withdrawn (1-28-11)
	Tartifers, ELC	2) Defendants' First Set of Interrogatories to Class Member Chicago Equity	3) Withdrawn (1-28-11)
		Partners, LLC (1-13-11)	3) Withdrawn (1-20-11)
		3) Defendants' First Set of Document Requests to Class Member Chicago	
		Equity Partners, LLC (1-13-11)	
35	Chilton	1) Notice of Rule 30(b)(6) Deposition to Class Member Chilton Investment	1) Withdrawn
	Investment Co.	Co. (1-13-11)	2) Withdrawn
		2) Defendants' First Set of Interrogatories to Class Member Chilton	3) Withdrawn
		Investment Co. (1-13-11)	4) Withdrawn (3-3-11)
		3) Defendants' First Set of Document Requests to Class Member Chilton	5) Withdrawn (3-3-11)
		Investment Co. (1-13-11)	
		4) Defendants' Revised Document Requests to Class Member Chilton	
		Investment Co. (2-3-11)	
		5) Defendants' Revised Interrogatories to Class Member Chilton Investment	
20	C'. 1.1	Co. (2-3-11)	1) W.d.1
36	Citadel	1) Notice of Rule 30(b)(6) Deposition to Class Member Citadel Investment	1) Withdrawn
	Investment	Group, L.L.C. (1-20-11) 2) Defendants' First Set of Interrogatories to Class Member Citadel	2) Withdrawn 3) Withdrawn
	Group, L.L.C.	Investment Group, L.L.C. (1-20-11)	4) Citadel, LLC's Responses and Objections to
		3) Defendants' First Set of Document Requests to Class Member Citadel	Defendants' Revised Document Requests (3-8-11)
		Investment Group, L.L.C. (1-20-11)	5) Citadel, LLC's Responses and Objections to
		4) Defendants' Revised Document Requests to Class Member Citadel	Defendants' Revised Interrogatories (3-8-11)
		Investment Group, L.L.C. (2-3-11)	(0 0 11)
		5) Defendants' Revised Interrogatories to Class Member Citadel Investment	
		Group, L.L.C. (2-3-11)	

	Entity	Discovery Served	Response
37	Class Action Services	 Notice of Subpoena [to Class Action Services]; Subpoena to Testify at a Deposition or to Produce Documents in a Civil Action; Subpoena to Produce Documents, Information, or Objects or to Permit Inspection of Premises (4-4-11) Notice of Subpoena [to Class Action Services]; Subpoena to Produce Documents, Information, or Objects or to Permit Inspection of Premises (4-8-11) 	1) Withdrawn (4-13-11) 2) Withdrawn (4-13-11)
38	ClearBridge Advisors, LLC	1) Notice of Subpoena for Deposition [to Clearbridge Advisors, LLC]; Subpoena to Testify at a Deposition or to Produce Documents in a Civil Action (5-10-11)	1) Withdrawn (5-13-11)
39	Columbia Management Group	1) Notice of Subpoena [to Columbia Management Group]; Subpoena to Produce Documents, Information, or Objects or to Permit Inspection of Premises (3-23-11)	
40	Comerica Inc.	 Notice of Rule 30(b)(6) Deposition to Class Member Comerica Inc. (1-13-11) Defendants' First Set of Interrogatories to Class Member Comerica Inc. (1-13-11) Defendants' First Set of Document Requests to Class Member Comerica Inc. (1-13-11) Defendants' Revised Document Requests to Class Member Comerica Inc. (2-3-11) Defendants' Revised Interrogatories to Class Member Comerica Inc. (2-3-11) 	1) Withdrawn 2) Withdrawn 3) Withdrawn
41	Davis Selected Advisors, LP	 Notice of Rule 30(b)(6) Deposition to Class Member Davis Selected Advisors, LP (1-13-11) Defendants' First Set of Interrogatories to Class Member Davis Selected Advisors, LP (1-13-11) Defendants' First Set of Document Requests to Class Member Davis Selected Advisors, LP (1-13-11) Defendants' Revised Document Requests to Class Member Davis Selected Advisors, LP (2-3-11) Defendants' Revised Interrogatories to Class Member Davis Selected Advisors, LP (2-3-11) Notice of Rule 30(b)(6) Deposition to Class Member Davis Selected Advisors, L.P. (3-3-11) Amended Notice of Rule 30(b)(6) Deposition to Davis Selected Advisors, L.P. (4-21-11) 	1) Withdrawn 2) Withdrawn 3) Withdrawn 7) Deposition held (5-23-11)
42	Deutsche Asset	1) Notice of Subpoena [to Deutsche Asset Management]; Subpoena to	

	Entity	Discovery Served	Response
	Management	Produce Documents, Information, or Objects or to Permit Inspection of Premises (3-23-11)	
		1) Notice of Subpoena [to Deutsche Asset Management]; Subpoena to	
		Produce Documents, Information, or Objects or to Permit Inspection of	
		Premises (4-6-11)	
43	Deutsche Bank	1) Defendants' First Set of Interrogatories to Class Member Deutsche Bank	
	Aktiengesellsca	Aktiengesellscaft (2-7-11)	
	ft	2) Defendants' First Set of Document Requests to Class Member Deutsche	
11		Bank Aktiengesellscaft (2-7-11)	4) 2201.1
44	DNB Asset	1) Notice of Rule 30(b)(6) Deposition to Class Member DNB Asset	1) Withdrawn
	Management	Management Inc. (1-13-11)	2) Withdrawn
	Inc.	2) Defendants' First Set of Interrogatories to Class Member DNB Asset	3) Withdrawn
		Management Inc. (1-13-11)	
		3) Defendants' First Set of Document Requests to Class Member DNB Asset	
		Management Inc. (1-13-11) 4) Defendants' Revised Document Requests to Class Member DNB Asset	
		Management Inc. (2-3-11)	
		5) Defendants' Revised Interrogatories to Class Member DNB Asset	
		Management Inc. (2-3-11)	
45	Eaton Vance	1) Defendants' First Set of Interrogatories to Class Member Eaton-Vance Tax-	
	Tax-Managed	Managed Growth Fund (3-21-11)	
	Growth Fund	2) Defendants' First Set of Document Requests to Class Member Eaton-Vance	
		Tax-Managed Growth Fund (3-21-11)	
46	Enhanced	1) Notice of Rule 30(b)(6) Deposition to Class Member Enhanced Investment	1) Withdrawn
	Investment	Technologies Inc. (1-13-11)	2) Withdrawn
	Technologies	2) Defendants' First Set of Interrogatories to Class Member Enhanced	3) Withdrawn
	Inc.	Investment Technologies Inc. (1-13-11)	4) Withdrawn (2-22-11)
		3) Defendants' First Set of Document Requests to Class Member Enhanced Investment Technologies Inc. (1-13-11)	4) Withdrawn (2-22-11)
		4) Defendants' Revised Document Requests to Class Member Enhanced	
		Investment Technologies Inc. (2-3-11)	
		5) Defendants' Revised Interrogatories to Class Member Enhanced Investment	
		Technologies Inc. (2-3-11)	
47	Fidelity Funds	1) Defendants' First Set of Interrogatories [to Class Members Fidelity	
		Contrafund, Fidelity Dividend Growth Fund, Fidelity Equity-Income Fund,	
		Fidelity Puritan Fund, Fidelity Magellan Fund, Fidelity VIP Equity-Income	
		Fund and Fidelity VIP II Contrafund Portfolio] (3-21-11)	
		2) Defendants' First Set of Document Requests [to Class Members Fidelity	

	Entity	Discovery Served	Response
		Contrafund, Fidelity Dividend Growth Fund, Fidelity Equity-Income Fund, Fidelity Puritan Fund, Fidelity Magellan Fund, Fidelity VIP Equity-Income Fund and Fidelity VIP II Contrafund Portfolio] (3-21-11)	
48	Fidelity International Ltd.	 Notice of Rule 30(b)(6) Deposition to Class Member Fidelity International Ltd. (1-14-11) Defendants' First Set of Interrogatories to Class Member Fidelity International Ltd. (1-14-11) Defendants' First Set of Document Requests to Class Member Fidelity International Ltd. (1-14-11) Defendants' Revised Document Requests to Class Member Fidelity International Ltd. (2-3-11) Defendants' Revised Interrogatories to Class Member Fidelity International Ltd. (2-3-11) Defendants' Revised Document Requests to Class Member Fidelity International Ltd. (2-11-11) Defendants' Revised Interrogatories to Class Member Fidelity International Ltd. (2-11-11) 	 Withdrawn Withdrawn Withdrawn
49	Fidelity Management and Research Company	1) Notice of Subpoena [to Fidelity Management and Research Company]; Subpoena to Produce Documents, Information, or Objects or to Permit Inspection of Premises (3-22-11)	1) Letter response to subpoena (4-7-11)
50	Fifth Third Bank	 Notice of Rule 30(b)(6) Deposition to Class Member Fifth Third Bank (1-13-11) Defendants' First Set of Interrogatories to Class Member Fifth Third Bank (1-13-11) Defendants' First Set of Document Requests to Class Member Fifth Third Bank (1-13-11) Defendants' Revised Document Requests to Class Member Fifth Third Bank (2-3-11) Defendants' Revised Interrogatories to Class Member Fifth Third Bank (2-3-11) 	Withdrawn Withdrawn Withdrawn Letter response to Revised Document Requests and Revised Interrogatories (3-18-11)
51	First American Large Cap Value Fund	1) Notice of Rule 30(b)(6) Deposition to First American Large Cap Fund (4-21-11)	1) Withdrawn (4-29-11)
52	First Citizens Bank & Trust Co.	 Notice of Rule 30(b)(6) Deposition to Class Member First Citizens Bank & Trust Co. (1-13-11) Defendants' First Set of Interrogatories to Class Member First Citizens Bank & Trust Co. (1-13-11) 	 Withdrawn Withdrawn Withdrawn Withdrawn (2-28-11)

	Entity	Discovery Served	Response
		 Defendants' First Set of Document Requests to Class Member First Citizens Bank & Trust Co. (1-13-11) Defendants' Revised Document Requests to Class Member First Citizens Bank & Trust Co. (2-3-11) Defendants' Revised Interrogatories to Class Member First Citizens Bank & 	4) Withdrawn (2-28-11)
		Trust Co. (2-3-11)	
53	First Manhattan Co.	 Notice of Rule 30(b)(6) Deposition to Class Member First Manhattan Co. (1-13-11) Defendants' First Set of Interrogatories to Class Member First Manhattan Co. (1-13-11) Defendants' First Set of Document Requests to Class Member First Manhattan Co. (1-13-11) Defendants' Revised Document Requests to Class Member First Manhattan Co. (2-3-11) Defendants' Revised Interrogatories to Class Member First Manhattan Co. (2-3-11) 	1) Withdrawn 2) Withdrawn 3) Withdrawn 4) Withdrawn (3-2-11) 4) Withdrawn (3-2-11)
54	Frank Russell Investment Management Co.	 Notice of Rule 30(b)(6) Deposition to Class Member Frank Russell Investment Management Co. (1-13-11) Defendants' First Set of Interrogatories to Class Member Frank Russell Investment Management Co. (1-13-11) Defendants' First Set of Document Requests to Class Member Frank Russell Investment Management Co. (1-13-11) Defendants' Revised Document Requests to Class Member Frank Russell Investment Management Co. (2-3-11) Defendants' Revised Interrogatories to Class Member Frank Russell Investment Management Co. (2-3-11) 	1) Withdrawn 2) Withdrawn 3) Withdrawn 4-5) Letter objections (3-21-11)
55	Glickenhaus & Co.	 Defendants' Request for the Production of Documents by Lead Plaintiffs (1-7-11) Notice of Rule 30(b)(6) Deposition to Class Member Glickenhaus & Company (1-14-11) Defendants' First Set of Interrogatories to Class Member Glickenhaus & Company (1-14-11) Defendants' First Set of Document Requests to Class Member Glickenhaus & Company (1-14-11) Defendants' Revised Document Requests to Class Member Glickenhaus & Company (2-3-11) Defendants' Revised Interrogatories to Class Member Glickenhaus & Company (2-3-11) 	 Lead Plaintiffs' Responses and Objections to Defendants' Request for the Production of Documents by Lead Plaintiffs (2-7-11) Withdrawn Withdrawn Glickenhaus & Co.'s Responses and Objections to Defendants' Revised Document Requests (3-8- 11), and subsequent production of documents Glickenhaus & Co.'s Responses and Objections to Defendants' Revised Interrogatories (3-8-11) Glickenhaus & Company's Objections to

	Entity	Discovery Served	Response
		7) Notice of Rule 30(b)(6) Deposition to Class Member Glickenhaus & Company (2-23-11)	Defendants' Notice of Rule 30(B)(6) Deposition (3-16-11); Deposition held (3-23-11)
56	Government of Singapore Investment Corporation	 Defendants' First Set of Document Requests to Class Member Government of Singapore Investment Corporation (4-22-11) Defendants' First Set of Interrogatories to Class Member Government of Singapore Investment Corporation (4-22-11) Notice of Rule 30(b)(6) Deposition to Government of Singapore Investment Corporation (4-22-11) 	3) Government of Singapore Investment Corporation's Objections to Defendants' Notice of Rule 30(b)(6) Deposition (5-9-11); Deposition held (5-19-11)
57	Harbor Capital Appreciation Fund	 Defendants' First Set of Interrogatories to Class Member Harbor Capital Appreciation Fund (3-21-11) Defendants' First Set of Document Requests to Class Member Harbor Capital Appreciation Fund (3-21-11) 	Harbor Capital Appreciation Fund's Responses and Objections to Defendants' First Set of Document Requests (4-20-11) Harbor Capital Appreciation Fund's Responses and Objections to Defendants' First Set of Interrogatories (4-20-11)
58	Hermes Pension Management Ltd	 Notice of Rule 30(b)(6) Deposition to Class Member Hermes Pension Management Ltd (1-14-11) Defendants' First Set of Interrogatories to Class Member Hermes Pension Management Ltd (1-14-11) Defendants' First Set of Document Requests to Class Member Hermes Pension Management Ltd (1-14-11) Defendants' Revised Document Requests to Class Member Hermes Pension Management Ltd (2-3-11) Defendants' Revised Interrogatories to Class Member Hermes Pension Management Ltd (2-3-11) 	 Withdrawn Withdrawn Withdrawn Hermes Investment Management Limited's Responses and Objections to Defendants' Revised Document Requests (3-2-11) Hermes Investment Management Limited's Responses and Objections to Defendants' Revised Interrogatories (3-2-11)
59	I.G. Investment Management Ltd.	 Notice of Rule 30(b)(6) Deposition to Class Member I.G. Investment Management Ltd. (1-14-11) Defendants' First Set of Interrogatories to Class Member I.G. Investment Management Ltd. (1-14-11) Defendants' First Set of Document Requests to Class Member I.G. Investment Management Ltd. (1-14-11) Defendants' Revised Document Requests to Class Member I.G. Investment Management Ltd. (2-3-11) Defendants' Revised Interrogatories to Class Member I.G. Investment Management Ltd. (2-3-11) 	 Withdrawn Withdrawn Withdrawn I.G. Investment Management, LTD's Responses and Objections to Defendants' Revised Document Requests (3-8-11), and subsequent production of documents I.G. Investment Management, LTD's Responses and Objections to Defendants' Revised Document Requests (3-8-11)
60	International Union of Operating Engineers	 Defendants' Request for the Production of Documents by Lead Plaintiffs (1-7-11) Notice of Rule 30(b)(6) Deposition to Class Member The International Union of Operating Engineers Local No. 132 Pension Plan (1-14-11) 	Lead Plaintiffs' Responses and Objections to Defendants' Request for the Production of Documents by Lead Plaintiffs (2-7-11) 2) Withdrawn

	Entity	Discovery Served	Response
	Local No. 132 Pension Plan	 Defendants' First Set of Interrogatories to Class Member The International Union of Operating Engineers Local No. 132 Pension Plan (1-14-11) Defendants' First Set of Document Requests to Class Member The International Union of Operating Engineers Local No. 132 Pension Plan (1-14-11) Defendants' Revised Document Requests to Class Member The International Union of Operating Engineers Local No. 132 Pension Plan (2-3-11) Defendants' Revised Interrogatories to Class Member The International Union of Operating Engineers Local No. 132 Pension Plan (2-3-11) Notice of Rule 30(b)(6) Deposition to The International Union of Operating Engineers Local No. 132 Pension Plan (4-21-11) 	 Withdrawn Withdrawn The International Union of Operating Engineers Local No. 132 Pension Plan's Responses and Objections to Defendants' Revised Document Requests (3-8-11), and subsequent production of documents The International Union of Operating Engineers Local No. 132 Pension Plan's Responses and Objections to Defendants' Revised Interrogatories (3-8-11) The International Union of Operating Engineers Local No. 132 Pension Plan's Objections to Defendants' Notice of Rule 30(b)(6) Deposition (5-5-11); Deposition held (5-10-11)
61	Invesco Asset Management Limited	 Notice of Rule 30(b)(6) Deposition to Class Member Invesco Asset Management Limited (1-14-11) Defendants' First Set of Interrogatories to Class Member Invesco Asset Management Limited (1-14-11) Defendants' First Set of Document Requests to Class Member Invesco Asset Management Limited (1-14-11) Notice of Subpoena [to Invesco Asset Management Limited]; Subpoena to Produce Documents, Information, or Objects or to Permit Inspection of Premises (3-22-11) 	1) Withdrawn (1-27-11) 2) Withdrawn (1-27-11) 3) Withdrawn (1-27-11)
62	Jacobs Levy Equity Management, Inc.	 Notice of Rule 30(b)(6) Deposition to Class Member Jacobs Levy Equity Management, Inc. (1-13-11) Defendants' First Set of Interrogatories to Class Member Jacobs Levy Equity Management, Inc. (1-13-11) Defendants' First Set of Document Requests to Class Member Jacobs Levy Equity Management, Inc. (1-13-11) Defendants' Revised Document Requests to Class Member Jacobs Levy Equity Management, Inc. (2-3-11) Defendants' Revised Interrogatories to Class Member Jacobs Levy Equity Management, Inc. (2-3-11) 	 Withdrawn Withdrawn Withdrawn Jacobs Levy Equity Management Inc.'s Responses to Defendants' Revised Set Document Requests (3-4-11) Jacobs Levy Equity Management Inc.'s Responses to Defendants' Revised Set of Interrogatories (3-4-11)
63	Janus Capital Management LLC	 Notice of Rule 30(b)(6) Deposition to Class Member Janus Capital Management LLC (1-13-11) Defendants' First Set of Interrogatories to Class Member Janus Capital Management LLC (1-13-11) 	 Withdrawn Withdrawn Withdrawn Response to Defendants' Revised Document

	Entity	Discovery Served	Response
		 Defendants' First Set of Document Requests to Class Member Janus Capital Management LLC (1-13-11) Defendants' Revised Document Requests to Class Member Janus Capital Management LLC (2-3-11) Defendants' Revised Interrogatories to Class Member Janus Capital Management LLC (2-3-11) Notice of Rule 30(b)(6) Deposition to Class Member Janus Capital Management LLC (3-3-11) 	Requests and Interrogatories to Class Member Janus Capital Management LLC (2-23-11), and subsequent production of documents 6) Janus Capital Management LLC's Objections to Defendants' Notice of Rule 30(B)(6) Deposition (3-30-11)
64	Jennison Associates, LLC	1) Notice of Subpoena [to Jennison Associates LLC]; Subpoena to Produce Documents, Information, or Objects or to Permit Inspection of Premises (3-23-11)	
65	JP Morgan Chase & Co	 Notice of Rule 30(b)(6) Deposition to Class Member JP Morgan Chase & Co. (1-20-11) Defendants' First Set of Interrogatories to Class Member JP Morgan Chase & Co. (1-20-11) Defendants' First Set of Document Requests to Class Member JP Morgan Chase & Co. (1-20-11) Defendants' Revised Document Requests to Class Member JP Morgan Chase & Co. (2-3-11) Defendants' Revised Interrogatories to Class Member JP Morgan Chase & Co. (2-3-11) 	1) Withdrawn 2) Withdrawn 3) Withdrawn 4-5) Letter response (3-8-11)
66	Keller Group Investment Management, Inc.	 Notice of Rule 30(b)(6) Deposition to Class Member Keller Group Investment Management, Inc. (1-13-11) Defendants' First Set of Interrogatories to Class Member Keller Group Investment Management, Inc. (1-13-11) Defendants' First Set of Document Requests to Class Member Keller Group Investment Management, Inc. (1-13-11) 	1) Withdrawn (1-28-11) 2) Withdrawn (1-28-11) 3) Withdrawn (1-28-11)
67	Kentucky Teachers Retirement System	 Notice of Rule 30(b)(6) Deposition to Class Member Kentucky Teachers Retirement System (1-13-11) Defendants' First Set of Interrogatories to Class Member Kentucky Teachers Retirement System (1-13-11) Defendants' First Set of Document Requests to Class Member Kentucky Teachers Retirement System (1-13-11) Defendants' Revised Document Requests to Class Member Kentucky Teachers Retirement System (2-3-11) Defendants' Revised Interrogatories to Class Member Kentucky Teachers Retirement System (2-3-11) 	 Withdrawn Withdrawn Withdrawn Response of Class Member Kentucky Teachers Retirement System to Defendants' Revised Document Requests (3-8-11), and subsequent production of documents Response of Class Member Kentucky Teachers Retirement System to Defendants' Revised Interrogatories (3-8-11)
68	Kingdon	1) Notice of Rule 30(b)(6) Deposition to Class Member Kingdon Capital	1) Withdrawn

	Entity	Discovery Served		Response
	Capital	Management, LLC (1-13-11)	1 /	Withdrawn
	Management,	2) Defendants' First Set of Interrogatories to Class Member Kingdon Capital	3)	Withdrawn
	LLC	Management, LLC (1-13-11)		
		3) Defendants' First Set of Document Requests to Class Member Kingdon		
		Capital Management, LLC (1-13-11)		
		4) Defendants' Revised Document Requests to Class Member Kingdon		
		Capital Management, LLC (2-3-11)		
		5) Defendants' Revised Interrogatories to Class Member Kingdon Capital		
		Management, LLC (2-3-11)		
69	Legal &	1) Notice of Rule 30(b)(6) Deposition to Class Member Legal & General	1)	Withdrawn
	General Group	Group PLC (1-14-11)	2)	Withdrawn
	PLC	2) Defendants' First Set of Interrogatories to Class Member Legal & General	3)	Withdrawn
		Group PLC (1-14-11)		Legal & General Group PLC's Response and
		3) Defendants' First Set of Document Requests to Class Member Legal &	1	Objections to Defendants' Revised Document
		General Group PLC (1-14-11)	1	Requests (3-8-11), and subsequent production of
		4) Defendants' Revised Document Requests to Class Member Legal &		documents
		General Group PLC (2-3-11) 5) Defendants' Pavined Intermediate Class Marshau Legal & Consul		Legal & General Group PLC's Response and
		5) Defendants' Revised Interrogatories to Class Member Legal & General Group PLC (2-3-11)	1	Objections to Defendants' Revised Interrogatories (3-8-11)
70	Legg Mason	1) Notice of Rule 30(b)(6) Deposition to Class Member Legg Mason Inc. (1-		Withdrawn
	Inc.	13-11)	1 /	Withdrawn
		2) Defendants' First Set of Interrogatories to Class Member Legg Mason Inc.	1 1	Withdrawn
		(1-13-11)	4)	Withdrawn (4-14-11)
		3) Defendants' First Set of Document Requests to Class Member Legg Mason	5)	Withdrawn (4-14-11)
		Inc. (1-13-11)		
		4) Defendants' Revised Document Requests to Class Member Legg Mason		
		Inc. (2-3-11)		
		5) Defendants' Revised Interrogatories to Class Member Legg Mason Inc. (2-3-11)		
71	Lutheran	1) Notice of Rule 30(b)(6) Deposition to Class Member Lutheran Brotherhood	1)	Withdrawn
	Brotherhood	Research Corp. (1-13-11)	1 '	Withdrawn
	Research Corp.	2) Defendants' First Set of Interrogatories to Class Member Lutheran	1 ′	Withdrawn
		Brotherhood Research Corp. (1-13-11)		
		3) Defendants' First Set of Document Requests to Class Member Lutheran		
		Brotherhood Research Corp. (1-13-11)		
		4) Defendants' Revised Document Requests to Class Member Lutheran		
		Brotherhood Research Corp. (2-3-11)		

	Entity	Discovery Served	Response
		5) Defendants' Revised Interrogatories to Class Member Lutheran	
		Brotherhood Research Corp. (2-3-11)	
72	Madison	1) Notice of Rule 30(b)(6) Deposition to Class Member Madison Investment	1) Withdrawn
	Investment	Advisors, Inc. (1-13-11)	2) Withdrawn
	Advisors, Inc.	2) Defendants' First Set of Interrogatories to Class Member Madison	3) Withdrawn
		Investment Advisors, Inc. (1-13-11)	
		3) Defendants' First Set of Document Requests to Class Member Madison	
		Investment Advisors, Inc. (1-13-11)	
		4) Defendants' Revised Document Requests to Class Member Madison	
		Investment Advisors, Inc. (2-3-11)	
		5) Defendants' Revised Interrogatories to Class Member Madison Investment	
		Advisors, Inc. (2-3-11)	
73	Mason Street	1) Notice of Rule 30(b)(6) Deposition to Class Member Mason Street	1) Withdrawn
	Advisors, LLC	Advisors, LLC (1-13-11)	2) Withdrawn
		2) Defendants' First Set of Interrogatories to Class Member Mason Street	3) Withdrawn
		Advisors, LLC (1-13-11)	4) Mason Street Advisors, LLC's Responses and
		3) Defendants' First Set of Document Requests to Class Member Mason Street	Objections to Defendants' Revised Document
		Advisors, LLC (1-13-11)	Requests (3-8-11), and subsequent production of
		4) Defendants' Revised Document Requests to Class Member Mason Street	documents
		Advisors, LLC (2-3-11) 5) Defendents' Paying Intermodulation to Class Member Meson Street	5) Mason Street Advisors, LLC's Response and
		5) Defendants' Revised Interrogatories to Class Member Mason Street Advisors, LLC (2-3-11)	Objections to Defendants' Revised Interrogatories (3-8-11)
74	Massmutual	1) Notice of Rule 30(b)(6) Deposition to Massmutual Select Large Cap Value	(3-8-11)
' -	Select Large	Fund (4-21-11)	
	Cap Value Fund	1 und (4-21-11)	
75	Mellon Bank	1) Notice of Rule 30(b)(6) Deposition to Class Member Mellon Bank NA (1-	1) Withdrawn
	NA	13-11)	2) Withdrawn
	1111	2) Defendants' First Set of Interrogatories to Class Member Mellon Bank NA	3) Withdrawn
		(1-13-11)	4-5) Letter response and objections (3-7-11)
		3) Defendants' First Set of Document Requests to Class Member Mellon Bank	, , ,
		NA (1-13-11)	
		4) Defendants' Revised Document Requests to Class Member Mellon Bank	
		NA (2-3-11)	
		5) Defendants' Revised Interrogatories to Class Member Mellon Bank NA (2-	
		3-11)	
76	MFS	1) Notice of Rule 30(b)(6) Deposition to Class Member MFS Investment	1) Withdrawn
	Investment	Management (1-13-11)	2) Withdrawn

	Entity	Discovery Served	Response
	Management	 Defendants' First Set of Interrogatories to Class Member MFS Investment Management (1-13-11) Defendants' First Set of Document Requests to Class Member MFS Investment Management (1-13-11) Defendants' Revised Document Requests to Class Member MFS Investment Management (2-3-11) Defendants' Revised Interrogatories to Class Member MFS Investment Management (2-3-11) Notice of Subpoena [to MFS Investment Management]; Subpoena to Produce Documents, Information, or Objects or to Permit Inspection of Premises (3-22-11) 	3) Withdrawn 4) Withdrawn (3-1-11) 5) Withdrawn (3-1-11) 6) Letter response and objections (4-8-11)
77	Millennium Partners LP	 Notice of Rule 30(b)(6) Deposition to Class Member Millennium Partners LP (1-13-11) Defendants' First Set of Interrogatories to Class Member Millennium Partners LP (1-13-11) Defendants' First Set of Document Requests to Class Member Millennium Partners LP (1-13-11) Defendants' Revised Document Requests to Class Member Millennium Partners LP (2-3-11) Defendants' Revised Interrogatories to Class Member Millennium Partners LP (2-3-11) 	 Withdrawn Withdrawn Withdrawn Millennium Partners, LP's Responses and Objections to Defendants' Revised Document Requests (3-8-11) Millennium Partners, LP's Response and Objections to Defendants' Revised Interrogatories (3-8-11)
78	Morgan Stanley Dividend Growth	 Defendants' First Set of Interrogatories to Class Member Morgan Stanley Dividend Growth (3-21-11) Defendants' First Set of Document Requests to Class Member Morgan Stanley Dividend Growth (3-21-11) 	
79	Munder Capital Management	 Notice of Rule 30(b)(6) Deposition to Class Member Munder Capital Management (1-13-11) Defendants' First Set of Interrogatories to Class Member Munder Capital Management (1-13-11) Defendants' First Set of Document Requests to Class Member Munder Capital Management (1-13-11) Defendants' Revised Document Requests to Class Member Munder Capital Management (2-3-11) Defendants' Revised Interrogatories to Class Member Munder Capital Management (2-3-11) 	Withdrawn Withdrawn Withdrawn Letter response and objections (3-3-11), and subsequent production of documents
80	Munich Re Capital	1) Notice of Rule 30(b)(6) Deposition to Class Member Munich Re Capital Management Corp. (1-13-11)	1) Withdrawn (1-28-11) 2) Withdrawn (1-28-11)

	Entity	Discovery Served	Response
	Management	2) Defendants' First Set of Interrogatories to Class Member Munich Re	3) Withdrawn (1-28-11)
	Corp.	Capital Management Corp. (1-13-11)	
		3) Defendants' First Set of Document Requests to Class Member Munich Re	
		Capital Management Corp. (1-13-11)	
81	Neuberger	1) Notice of Rule 30(b)(6) Deposition to Class Member Neuberger Berman,	1) Withdrawn
	Berman, LLC	LLC (1-13-11)	2) Withdrawn
		2) Defendants' First Set of Interrogatories to Class Member Neuberger	3) Withdrawn
		Berman, LLC (1-13-11)	
		3) Defendants' First Set of Document Requests to Class Member Neuberger	
		Berman, LLC (1-13-11)	
		4) Defendants' Revised Document Requests to Class Member Neuberger	
		Berman, LLC (2-3-11)	
		5) Defendants' Revised Interrogatories to Class Member Neuberger Berman, LLC (2-3-11)	
82	New York State	1) Notice of Rule 30(b)(6) Deposition to Class Member New York State	1) Withdrawn
	Common	Common Retirement System (1-13-11)	2) Withdrawn
	Retirement	2) Defendants' First Set of Interrogatories to Class Member New York State	3) Withdrawn
	System	Common Retirement System (1-13-11)	4) Withdrawn (3-31-11)
		3) Defendants' First Set of Document Requests to Class Member New York	5) Withdrawn (3-31-11)
		State Common Retirement System (1-13-11)	
		4) Defendants' Revised Document Requests to Class Member New York State	
		Common Retirement System (2-3-11)	
		5) Defendants' Revised Interrogatories to Class Member New York State	
		Common Retirement System (2-3-11)	
83	New York State	1) Notice of Rule 30(b)(6) Deposition to Class Member New York State	1) Withdrawn
	Teachers'	Teachers' Retirement System (1-13-11)	2) Withdrawn
	Retirement	2) Defendants' First Set of Interrogatories to Class Member New York State	3) Withdrawn
	System	Teachers' Retirement System (1-13-11)	
		3) Defendants' First Set of Document Requests to Class Member New York	
0.4	N. d. T.	State Teachers' Retirement System (1-13-11)	10. 70. 140.
84	Northern Trust	1) Notice of Subpoena [to The Northern Trust Company]; Subpoena to Testify	1) Deposition posptponed (5-31-11)
OF.	Company	at a Deposition or to Produce Documents in a Civil Action (5-18-11)	1\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \
85	Numeric	1) Notice of Rule 30(b)(6) Deposition to Class Member Numeric Investors, LP	1) Withdrawn
	Investors, LP	(1-13-11) 2) Defendents' First Set of Intermediate to Class Member Numeric	2) Withdrawn
		2) Defendants' First Set of Interrogatories to Class Member Numeric	3) Withdrawn 4) Withdrawn (3-2-11)
		Investors, LP (1-13-11) 3) Defendants' First Set of Document Requests to Class Member Numeric	5) Withdrawn (3-2-11)
		Investors, LP (1-13-11)	3) williamii (3-2-11)
		1117CS1018, LF (1-13-11)	

	Entity	Discovery Served	Response
		 4) Defendants' Revised Document Requests to Class Member Numeric Investors, LP (2-3-11) 5) Defendants' Revised Interrogatories to Class Member Numeric Investors, LP (2-3-11) 	
86	Oak Value Fund	1) Notice of Rule 30(b)(6) Deposition to Oak Value Fund (4-21-11)	1) Withdrawn (4-29-11)
87	Ohio Public Employees Retirement System	 Notice of Rule 30(b)(6) Deposition to Class Member Ohio Public Employees Retirement System (1-13-11) Defendants' First Set of Interrogatories to Class Member Ohio Public Employees Retirement System (1-13-11) Defendants' First Set of Document Requests to Class Member Ohio Public Employees Retirement System (1-13-11) Defendants' Revised Document Requests to Class Member Ohio Public Employees Retirement System (2-3-11) Defendants' Revised Interrogatories to Class Member Ohio Public Employees Retirement System (2-3-11) 	 Withdrawn Withdrawn Withdrawn Ohio Public Employees Retirement System's Response and Objections to Defendants' Revised Document Requests (3-8-11), and subsequent production of documents Ohio Public Employees Retirement System's Response and Objections to Defendants' Revised Interrogatories (3-8-11)
88	Oppenheimer Funds Inc.	 Notice of Rule 30(b)(6) Deposition to Class Member Oppenheimer Funds Inc. (1-13-11) Defendants' First Set of Interrogatories to Class Member Oppenheimer Funds Inc. (1-13-11) Defendants' First Set of Document Requests to Class Member Oppenheimer Funds Inc. (1-13-11) Defendants' Revised Document Requests to Class Member Oppenheimer Funds Inc. (2-3-11) Defendants' Revised Interrogatories to Class Member Oppenheimer Funds Inc. (2-3-11) Notice of Subpoena [to Oppenheimerfunds Inc.]; Subpoena to Produce Documents, Information, or Objects or to Permit Inspection of Premises (3-22-11) Notice of Subpoena [to Oppenheimerfunds Inc.]; Subpoena to Testify at a Deposition or to Produce Documents in a Civil Action (4-22-11) 	 Withdrawn Withdrawn Withdrawn (3-28-11) Withdrawn (3-28-11) Letter response to document subpoena (4-19-11) Oppenheimerfunds, Inc.'s Objections to Defendants' Third-Party Deposition Subpoena (5-3-11); Deposition held (5-17-11)
89	Oppenheimer Funds	 Defendants' First Set of Interrogatories [to Class Members Oppenheimer Main Street Growth & Income Fund, Oppenheimer Quest Balanced Value Fund, Oppenheimer Quest Capital Value Fund, Oppenheimer Quest Global Value Fund, Oppenheimer Quest Opportunity Value Fund and Oppenheimer Total Return Fund] (3-18-11) Defendants' First Set of Document Requests [to Class Members 	1) Class Members Oppenheimer Main Street Growth & Income Fund, Oppenheimer Quest Balanced Value Fund, Oppenheimer Quest Capital Value Fund, Oppenheimer Quest Global Value Fund, Oppenheimer Quest Opportunity Value Fund and Oppenheimer Total Return Fund's Responses and

	Entity	Discovery Served	Response
		Oppenheimer Main Street Growth & Income Fund, Oppenheimer Quest Balanced Value Fund, Oppenheimer Quest Capital Value Fund, Oppenheimer Quest Global Value Fund, Oppenheimer Quest Opportunity Value Fund and Oppenheimer Total Return Fund] (3-18-11)	Objections to Defendants' First Set of Interrogatories (4-20-11) 2) Class Members Oppenheimer Main Street Growth & Income Fund, Oppenheimer Quest Balanced Value Fund, Oppenheimer Quest Capital Value Fund, Oppenheimer Quest Global Value Fund, Oppenheimer Quest Opportunity Value Fund and Oppenheimer Total Return Fund's Responses and Objections to Defendants' First Set of Document Requests (4-20-11), and subsequent production of documents
90	PACE Industry Union Management Pension Fund	 Defendants' Request for the Production of Documents by Lead Plaintiffs (1-7-11) Notice of Rule 30(b)(6) Deposition to Class Member PACE Industry Union Management System (1-14-11) Defendants' First Set of Interrogatories to Class Member PACE Industry Union Management System (1-14-11) Defendants' First Set of Document Requests to Class Member PACE Industry Union Management System (1-14-11) Defendants' Revised Document Requests to Class Member PACE Industry Union Management System (2-3-11) Defendants' Revised Interrogatories to Class Member PACE Industry Union Management System (2-3-11) 	 Lead Plaintiffs' Responses and Objections to Defendants' Request for the Production of Documents by Lead Plaintiffs (2-7-11) Withdrawn Withdrawn Withdrawn PACE Industry Union Management Pension Fund's Responses and Objections to Defendants' Revised Document Requests (3-8-11), and subsequent production of documents PACE Industry Union Management Pension Fund's Responses and Objections to Defendants' Revised Interrogatories (3-8-11)
91	Parametric Port Associates, Inc.	 Notice of Rule 30(b)(6) Deposition to Class Member Parametric Port Associates, Inc. (1-13-11) Defendants' First Set of Interrogatories to Class Member Parametric Port Associates, Inc. (1-13-11) Defendants' First Set of Document Requests to Class Member Parametric Port Associates, Inc. (1-13-11) 	1) Withdrawn (1-28-11) 2) Withdrawn (1-28-11) 3) Withdrawn (1-28-11)
92	Pequot Capital Management Inc.	 Notice of Rule 30(b)(6) Deposition to Class Member Pequot Capital Management Inc. (1-13-11) Defendants' First Set of Interrogatories to Class Member Pequot Capital Management Inc. (1-13-11) Defendants' First Set of Document Requests to Class Member Pequot Capital Management Inc. (1-13-11) Defendants' Revised Document Requests to Class Member Pequot Capital Management Inc. (2-3-11) 	 Withdrawn Withdrawn Withdrawn

	Entity	Discovery Served	Response
		5) Defendants' Revised Interrogatories to Class Member Pequot Capital	
		Management Inc. (2-3-11)	
93	Philips & Drew	1) Notice of Rule 30(b)(6) Deposition to Class Member Philips & Drew Fund	1) Withdrawn
	Fund	Management Ltd (1-20-11)	2) Withdrawn
	Management	2) Defendants' First Set of Interrogatories to Class Member Philips & Drew	3) Withdrawn
	Ltd	Fund Management Ltd (1-20-11)	
		3) Defendants' First Set of Document Requests to Class Member Philips &	
		Drew Fund Management Ltd (1-20-11)	
		4) Defendants' First Set of Interrogatories to Class Member Philips & Drew	
		Fund Management Ltd (2-7-11) 5) Defendants' First Set of Decument Requests to Class Member Philips &	
		5) Defendants' First Set of Document Requests to Class Member Philips & Drew Fund Management Ltd (2-7-11)	
		6) Defendants' First Set of Interrogatories to Class Member Philips & Drew	
		Fund Management Ltd (3-28-11)	
		7) Defendants' First Set of Document Requests to Class Member Philips &	
		Drew Fund Management Ltd (3-28-11)	
		[Note: defendants served three sets of "first set of" discovery]	
94	Phoenix_ Virtus	1) Defendants' First Set of Document Requests [to Class Members Phoenix	1) Class Members Phoenix Balanced Return Fund,
	Funds	Balanced Return Fund, Phoenix Edge Series Fund: Capital Growth Series,	Phoenix Edge Series Fund: Capital Growth
		Phoenix Edge Series Fund: Phoenix-AIM Growth Series, Phoenix Edge	Series, Phoenix Edge Series Fund: Phoenix-AIM
		Series Fund: Phoenix-Janus Core Equity Income Series, Phoenix Edge	Growth Series, Phoenix Edge Series Fund:
		Series Fund: Phoenix-MFS Investors Growth Stock, Phoenix Edge Series	Phoenix-Janus Core Equity Income Series,
		Fund: Van Kampen Equity 500 Index, Phoenix Nifty Fifty Fund, Phoenix	Phoenix Edge Series Fund: Phoenix-MFS
		Value Equity Fund, Virtus All-Cap Growth Fund, Virtus Capital Growth	Investors Growth Stock, Phoenix Edge Series
		Fund, Virtus Edge Growth & Income Series, Virtus Index Fund and Virtus	Fund: Van Kampen Equity 500 Index, Phoenix
		Worldwide Strategies Fund] (3-18-11)	Nifty Fifty Fund, Phoenix Value Equity Fund,
		2) Defendants' First Set of Interrogatories [to Class Members Phoenix	Virtus All-Cap Growth Fund, Virtus Capital
		Balanced Return Fund, Phoenix Edge Series Fund: Capital Growth Series,	Growth Fund, Virtus Edge Growth & Income
		Phoenix Edge Series Fund: Phoenix-AIM Growth Series, Phoenix Edge	Series, Virtus Index Fund and Virtus Worldwide
		Series Fund: Phoenix-Janus Core Equity Income Series, Phoenix Edge	Strategies Fund's Responses and Objections to
		Series Fund: Phoenix-MFS Investors Growth Stock, Phoenix Edge Series	Defendants' First Set of Document Requests (4-
		Fund: Van Kampen Equity 500 Index, Phoenix Nifty Fifty Fund, Phoenix	19-11), and subsequent production of documents
		Value Equity Fund, Virtus All-Cap Growth Fund, Virtus Capital Growth	2) Class Members Phoenix Balanced Return Fund, Phoenix Edge Series Fund: Capital Growth
		Fund, Virtus Edge Growth & Income Series, Virtus Index Fund and Virtus Worldwide Strategies Fund] (3-18-11)	Phoenix Edge Series Fund: Capital Growth Series, Phoenix Edge Series Fund: Phoenix-AIM
		wondwide strategies rundj (5-10-11)	Growth Series, Phoenix Edge Series Fund:
			Phoenix-Janus Core Equity Income Series,
			Phoenix Edge Series Fund: Phoenix-MFS

	Entity	Discovery Served	Response
95	Principal Financial Group Inc.	 Notice of Rule 30(b)(6) Deposition to Class Member Principal Financial Group Inc. (1-13-11) Defendants' First Set of Interrogatories to Class Member Principal Financial Group Inc. (1-13-11) Defendants' First Set of Document Requests to Class Member Principal Financial Group Inc. (1-13-11) Defendants' Revised Document Requests to Class Member Principal Financial Group Inc. (2-3-11) 	Investors Growth Stock, Phoenix Edge Series Fund: Van Kampen Equity 500 Index, Phoenix Nifty Fifty Fund, Phoenix Value Equity Fund, Virtus All-Cap Growth Fund, Virtus Capital Growth Fund, Virtus Edge Growth & Income Series, Virtus Index Fund and Virtus Worldwide Strategies Fund's Responses and Objections to Defendants' First Set of Interrogatories (4-19-11) 1) Withdrawn 2) Withdrawn 3) Withdrawn 4-5) Letter response and objections (3-21-11)
		 5) Defendants' Revised Interrogatories to Class Member Principal Financial Group Inc. (2-3-11) 	
96	Prudential Funds Prudential	 Defendants First Set of Document Requests [to Class Members Prudential Active Balanced Fund, Prudential Tax-Managed Equity Fund, Prudential Financial Series Fund, Prudential Jennison Growth Fund, Prudential Value Fund, Prudential Equity Fund, Inc., Prudential 20/20 Focus Fund, Prudential U.S. Emerging Growth Fund, Inc., and Prudential Technology Fund] (3-21-11) Defendants First Set of Interrogatories [to Class Members Prudential Active Balanced Fund, Prudential Tax-Managed Equity Fund, Prudential Financial Series Fund, Prudential Jennison Growth Fund, Prudential Value Fund, Prudential Equity Fund, Inc., Prudential 20/20 Focus Fund, Prudential U.S. Emerging Growth Fund, Inc., and Prudential Technology Fund] (3-21-11) Notice of Rule 30(b)(6) Deposition to Class Member Prudential Insurance 	 Class members Prudential Active Balanced Fund, Prudential Tax-Managed Equity Fund, Prudential Financial Series Fund, Prudential Jennison Growth Fund, Prudential Value Fund, Prudential Equity Fund, Inc., Prudential 20/20 Focus Fund, Prudential U.S. Emerging Growth Fund, Inc., and Prudential Technology Fund's Responses and Objections to Defendants First Set of Document Requests (4-25-11), and subsequent production of documents Class members Prudential Active Balanced Fund, Prudential Tax-Managed Equity Fund, Prudential Financial Series Fund, Prudential Jennison Growth Fund, Prudential Value Fund, Prudential Equity Fund, Inc., Prudential 20/20 Focus Fund, Prudential U.S. Emerging Growth Fund, Inc., and Prudential Technology Fund's Responses and Objections to Defendants First Set of Interrogatories (4-25-11) Withdrawn

	Entity	Discovery Served	Response
	Insurance	Company of America (1-20-11)	2) Withdrawn
	Company of	2) Defendants' First Set of Interrogatories to Class Member Prudential	3) Withdrawn
	America	Insurance Company of America (1-20-11)	4-5) Letter response and objections (2-25-11)
		3) Defendants' First Set of Document Requests to Class Member Prudential	4) Prudential Insurance Company of America's
		Insurance Company of America (1-20-11)	Revised Responses and Objections to Defendants'
		4) Defendants' Revised Document Requests to Class Member Prudential	Revised Document Requests (4-25-11), and
		Insurance Company of America (2-3-11)	subsequent production of documents
		5) Defendants' Revised Interrogatories to Class Member Prudential Insurance	5) Prudential Insurance Company of America's
		Company of America (2-3-11) Notice of Pule 30(b)(6) Deposition to Productial Insurance Company of	Revised Responses and Objections to Defendants'
		6) Notice of Rule 30(b)(6) Deposition to Prudential Insurance Company of America (5-9-11)	Revised Interrogatories (4-25-11)
98	Prudential	1) Notice of Rule 30(b)(6) Deposition to Class Member Prudential Securities	1) Withdrawn
	Securities Inc.	Inc. (1-20-11)	2) Withdrawn
		2) Defendants' First Set of Interrogatories to Class Member Prudential	3) Withdrawn
		Securities Inc. (1-20-11)	4-5) Letter response and objections (2-25-11), and
		3) Defendants' First Set of Document Requests to Class Member Prudential	subsequent production of documents
		Securities Inc. (1-20-11)	
		4) Defendants' Revised Document Requests to Class Member Prudential	
		Securities Inc. (2-3-11)	
		5) Defendants' Revised Interrogatories to Class Member Prudential Securities Inc. (2-3-11)	
99	Putnam Funds	1) Defendants' First Set of Document Requests [to Class Members George	1) Objections of Alleged Class Members to
		Putnam Fund of Boston, Putnam Asset Allocation: Balanced Portfolio,	Defendants' First Set of Document Requests (4-
		Putnam Asset Allocation: Conservative Portfolio, Putnam Asset	21-11), and subsequent production of documents
		Allocation: Growth Portfolio, Putnam Balanced Retirement Fund, Putnam	2) Objections of Alleged Class Members to
		Growth and Income Fund II, Putnam Equity Income Fund, Putnam Fund for	Defendants' First Set of Interrogatories (4-21-11)
		Growth & Income, Putnam Investors Fund, and Putnam Voyager Fund] (3-	
		18-11)	
		2) Defendants' First Set of Interrogatories [to Class Members George Putnam Fund of Boston, Putnam Asset Allocation: Balanced Portfolio, Putnam	
		Asset Allocation: Conservative Portfolio, Putnam Asset Allocation:	
		Growth Portfolio, Putnam Balanced Retirement Fund, Putnam Growth and	
		Income Fund II, Putnam Equity Income Fund, Putnam Fund for Growth &	
		Income, Putnam Investors Fund, and Putnam Voyager Fund] (3-18-11)	
100	Putnam	1) Notice of Rule 30(b)(6) Deposition to Class Member Putnam Investment	1) Withdrawn
	Investment	Management, LLC (1-13-11)	2) Withdrawn
	Management	2) Defendants' First Set of Interrogatories to Class Member Putnam	3) Withdrawn
		Investment Management, LLC (1-13-11)	4) Withdrawn (2-14-11)

	Entity	Discovery Served	Response
		 Defendants' First Set of Document Requests to Class Member Putnam Investment Management, LLC (1-13-11) Defendants' Revised Document Requests to Class Member Putnam Investment Management, LLC (2-3-11) Defendants' Revised Interrogatories to Class Member Putnam Investment Management, LLC (2-3-11) Notice of Subpoena [to Putnam Investment Management]; Subpoena to Produce Documents, Information, or Objects or to Permit Inspection of Premises (3-22-11) Notice of Subpoena [to Putnam Investment Management]; Subpoena to Testify at a Deposition or to Produce Documents in a Civil Action (4-21-11) 	 5) Withdrawn (2-14-11) 6) Non-Class Member Putnam Investment Management's Response to Defendants' Subpoena to Produce Documents, Information, or Objects or to Permit Inspection of Premises (4-8- 11), and subsequent production of documents 7) Putnam Investment Management's Objections to Defendants' Third-Party Subpoena (4-29-11); Deposition held (5-9-11)
101	Rainier Investment Management, Inc.	 Notice of Rule 30(b)(6) Deposition to Class Member Rainier Investment Management, Inc. (1-13-11) Defendants' First Set of Interrogatories to Class Member Rainier Investment Management, Inc. (1-13-11) Defendants' First Set of Document Requests to Class Member Rainier Investment Management, Inc. (1-13-11) Defendants' Revised Document Requests to Class Member Rainier Investment Management, Inc. (2-3-11) Defendants' Revised Interrogatories to Class Member Rainier Investment Management, Inc. (2-3-11) 	1) Withdrawn 2) Withdrawn 3) Withdrawn
102	Ramsey Quantitative Systems, Inc.	 Notice of Rule 30(b)(6) Deposition to Class Member Ramsey Quantitative Systems, Inc. (1-13-11) Defendants' First Set of Interrogatories to Class Member Ramsey Quantitative Systems, Inc. (1-13-11) Defendants' First Set of Document Requests to Class Member Ramsey Quantitative Systems, Inc. (1-13-11) Defendants' Revised Document Requests to Class Member Ramsey Quantitative Systems, Inc. (2-3-11) Defendants' Revised Interrogatories to Class Member Ramsey Quantitative Systems, Inc. (2-3-11) 	 Withdrawn Withdrawn Withdrawn Ramsey Quantitative Systems, Inc.'s Responses and Objections to Defendants' Revised Document Requests (3-8-11), and subsequent production of documents Ramsey Quantitative Systems, Inc.'s Responses and Objections to Defendants' Revised Interrogatories (3-8-11)
103	Renaissance Technologies Corp.	 Notice of Rule 30(b)(6) Deposition to Class Member Renaissance Technologies Corp. (1-13-11) Defendants' First Set of Interrogatories to Class Member Renaissance Technologies Corp. (1-13-11) 	1) Withdrawn 2) Withdrawn 3) Withdrawn

	Entity	Discovery Served	Response
104	Roger Engemann & Associates	 Defendants' First Set of Document Requests to Class Member Renaissance Technologies Corp. (1-13-11) Defendants' Revised Document Requests to Class Member Renaissance Technologies Corp. (2-3-11) Defendants' Revised Interrogatories to Class Member Renaissance Technologies Corp. (2-3-11) Notice of Rule 30(b)(6) Deposition to Class Member Roger Engemann & Associates (1-13-11) Defendants' First Set of Interrogatories to Class Member Roger Engemann & Associates (1-13-11) Defendants' First Set of Document Requests to Class Member Roger Engemann & Associates (1-13-11) Defendants' Revised Document Requests to Class Member Roger 	1) Withdrawn 2) Withdrawn 3) Withdrawn 7) Letter response and objections to document subpoena (4-15-11)
		 Engemann & Associates (2-3-11) Defendants' Revised Interrogatories to Class Member Roger Engemann & Associates (2-3-11) Notice of Subpoena [to Roger Engemann & Associates]; Subpoena to Produce Documents, Information, or Objects or to Permit Inspection of Premises (3-22-11) Notice of Subpoena [to Roger Engemann & Associates, c/o Virtus Investment Partners, Inc.]; Subpoena to Produce Documents, Information, or Objects or to Permit Inspection of Premises (3-29-11) 	
105	Ruane, Cunniff & Co., Inc.	 Notice of Rule 30(b)(6) Deposition to Class Member Ruane, Cunniff & Co., Inc. (1-13-11) Defendants' First Set of Interrogatories to Class Member Ruane, Cunniff & Co., Inc. (1-13-11) Defendants' First Set of Document Requests to Class Member Ruane, Cunniff & Co., Inc. (1-13-11) Defendants' Revised Document Requests to Class Member Ruane, Cunniff & Co., Inc. (2-3-11) Defendants' Revised Interrogatories to Class Member Ruane, Cunniff & Co., Inc. (2-3-11) 	 Withdrawn Withdrawn Withdrawn Ruane, Cunniff & Goldfarb, Inc.'s Responses to Defendants' Revised Set of Document Requests (3-8-11) Ruane, Cunniff & Goldfarb, Inc.'s Responses to Defendants' Revised Set of Interrogatories (3-8- 11)
106	Sequoia Fund	 Defendants' First Set of Interrogatories to Class Member Sequoia Fund (3-21-11) Defendants' First Set of Document Requests to Class Member Sequoia Fund (3-21-11) 	
107	Southtrust Asset Management	1) Notice of Rule 30(b)(6) Deposition to Class Member Southtrust Asset Management Co- (1-13-11)	Withdrawn Withdrawn

	Entity	Discovery Served	Response
	Co.	2) Defendants' First Set of Interrogatories to Class Member Southtrust Asset	3) Withdrawn
		Management Co- (1-13-11)	
		3) Defendants' First Set of Document Requests to Class Member Southtrust	
		Asset Management Co. (1-13-11)	
		4) Defendants' First Set of Interrogatories to Class Member Southtrust Asset	
		Management Co- (2-7-11)	
		5) Defendants' First Set of Document Requests to Class Member Southtrust	
100	g G: ::	Asset Management Co. (2-7-11)	4\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \
108	Spears, Grisanti	1) Notice of Rule 30(b)(6) Deposition to Class Member Spears, Grisanti &	1) Withdrawn
	& Brown LLC	Brown LLC (1-13-11) 2) Defendants' First Set of Internace to rice to Close Member Spaces. Cricenti &	2) Withdrawn
		2) Defendants' First Set of Interrogatories to Class Member Spears, Grisanti & Brown LLC (1-13-11)	3) Withdrawn
		3) Defendants' First Set of Document Requests to Class Member Spears,	
		Grisanti & Brown LLC (1-13-11)	
		4) Defendants' Revised Document Requests to Class Member Spears, Grisanti	
		& Brown LLC (2-3-11)	
		5) Defendants' Revised Interrogatories to Class Member Spears, Grisanti &	
		Brown LLC (2-3-11)	
109	State Street	1) Notice of Rule 30(b)(6) Deposition to Class Member State Street Corp. (1-	1) Withdrawn
	Corp.	13-11)	2) Withdrawn
		2) Defendants' First Set of Interrogatories to Class Member State Street Corp.	3) Withdrawn
		(1-13-11)	4) State Street's Responses and Objections to
		3) Defendants' First Set o Document Requests to Class Member State Street	Defendants' Revised Document Requests (3-18-
		Corp. (1-13-11)	11), and subsequent production of documents
		4) Defendants' Revised Document Requests to Class Member State Street	5) State Street's Responses and Objections to
		Corp. (2-3-11)	Defendants' Revised Interrogatories (3-18-11)
		5) Defendants' Revised Interrogatories to Class Member State Street Corp. (2-	6) Deposition held (5-24-11)
		3-11)Notice of Rule 30(b)(6) Deposition to State Street Corp. (4-21-11)	
110	State Teacher	1) Notice of Rule 30(b)(6) Deposition to Class Member State Teacher	1) Withdrawn
	Retirement	Retirement System of Ohio (1-13-11)	2) Withdrawn
	System of Ohio	2) Defendants' First Set of Interrogatories to Class Member State Teacher	3) Withdrawn
		Retirement System of Ohio (1-13-11)	4) State Teacher Retirement System's Responses
		3) Defendants' First Set of Document Requests to Class Member State	and Objections to Defendants' Revised Document
		Teacher Retirement System of Ohio (1-13-11)	Requests (3-18-11), and subsequent production
		4) Defendants' Revised Document Requests to Class Member State Teacher	of documents
		Retirement System of Ohio (2-3-11)	5) State Teacher Retirement System's Responses
		5) Defendants' Revised Interrogatories to Class Member State Teacher	and Objections to Defendants' Revised

	Entity	Discovery Served	Response
		Retirement System of Ohio (2-3-11)	Interrogatories (3-18-11)
		6) Notice of Rule 30(b)(6) Deposition to State Teacher Retirement System of	6) State Teacher Retirement System of Ohio's
		Ohio (4-21-11)	Objections to Defendants' Notice of Rule 30(b)(6)
			Deposition (4-28-11); Deposition held (5-24-11)
111	Stratton	1) Notice of Rule 30(b)(6) Deposition to Class Member Stratton Management	1) Withdrawn
	Management	Company (1-13-11)	2) Withdrawn
	Company	2) Defendants' First Set of Interrogatories to Class Member Stratton	3) Withdrawn
		Management Company (1-13-11)	
		3) Defendants' First Set of Document Requests to Class Member Stratton	
		Management Company (1-13-11)	
		4) Defendants' Revised Document Requests to Class Member Stratton	
		Management Company (2-3-11)	
		5) Defendants' Revised Interrogatories to Class Member Stratton Management	
110		Company (2-3-11)	
112	Sunamerica	1) Defendants' First Set of Interrogatories to Class Member Sunamerica Series	
	Series - Davis	- Davis Venture Value Portfolio (3-21-11)	
	Venture Value	2) Defendants' First Set of Document Requests to Class Member Sunamerica	
110	Portfolio	Series - Davis Venture Value Portfolio (3-21-11)	4)
113	Talon Asset	1) Notice of Rule 30(b)(6) Deposition to Class Member Talon Asset	1) Withdrawn
	Management,	Management, Inc. (1-13-11)	2) Withdrawn
	Inc.	2) Defendants' First Set of Interrogatories to Class Member Talon Asset	3) Withdrawn
		Management, Inc. (1-13-11) 3) Defendants' First Set of Document Requests to Class Member Talon Asset	
		3) Defendants' First Set of Document Requests to Class Member Talon Asset Management, Inc. (1-13-11)	
		4) Defendants' Revised Document Requests to Class Member Talon Asset	
		Management, Inc. (2-3-11)	
		5) Defendants' Revised Interrogatories to Class Member Talon Asset	
		Management, Inc. (2-3-11)	
114	TCW Asset	1) Notice of Rule 30(b)(6) Deposition to Class Member TCW Asset	1) Withdrawn
	Management	Management Co.(1-13-11)	2) Withdrawn
	Co.	2) Defendants' First Set of Interrogatories to Class Member TCW Asset	3) Withdrawn
		Management Co. (1-13-11)	4) Response of TCW Asset Management Company
		3) Defendants' First Set of Document Requests to Class Member TCW Asset	to Revised Document Requests (undated), and
		Management Co. (1-13-11)	subsequent production of documents
		4) Defendants' Revised Document Requests to Class Member TCW Asset	5) Response of TCW Asset Management Company
		Management Co. (2-3-11)	to Revised Interrogatories (undated)
		5) Defendants' Revised Interrogatories to Class Member TCW Asset	
		Management Co. (2-3-11)	

	Entity	Discovery Served	Response
115	Teacher Retirement System of Texas	 Notice of Rule 30(b)(6) Deposition to Class Member Teacher Retirement System of Texas (1-13-11) Defendants' First Set of Interrogatories to Class Member Teacher Retirement System of Texas (1-13-11) Defendants' First Set of Document Requests to Class Member Teacher Retirement System of Texas (1-13-11) Defendants' Revised Document Requests to Class Member Teacher Retirement System of Texas (2-3-11) Defendants' Revised Interrogatories to Class Member Teacher Retirement System of Texas (2-3-11) Notice of Rule 30(b)(6) Deposition to Class Member Teacher Retirement System of Texas (3-3-11) 	 Withdrawn Withdrawn Withdrawn Teacher Retirement System of Texas' Responses and Objections to Defendants' Revised Document Requests (3-4-11), and subsequent production of documents Teacher Retirement System of Texas' Responses and Objections to Defendants' Revised Interrogatories (3-4-11) Withdrawn (3-27-11)
116	Teachers Retirement System of Georgia	Notice of Rule 30(b)(6) Deposition to Teachers Retirement System of Georgia (4-21-11)	1) Teachers Retirement System of Georgia's Objections to Defendants' Notice of Rule 30(b)(6) Deposition; Deposition held (5-17-11)
117	Thales Fund Management, LLC	 Notice of Rule 30(b)(6) Deposition to Class Member Thales Fund Management, LLC (1-13-11) Defendants' First Set of Interrogatories to Class Member Thales Fund Management, LLC (1-13-11) Defendants' First Set of Document Requests to Class Member Thales Fund Management, LLC (1-13-11) Defendants' Revised Document Requests to Class Member Thales Fund Management, LLC (2-3-11) Defendants' Revised Interrogatories to Class Member Thales Fund Management, LLC (2-3-11) 	1) Withdrawn 2) Withdrawn 3) Withdrawn
118	The West Virginia Laborers Trust Fund	 Notice of Rule 30(b)(6) Deposition to Class Member The West Virginia Laborers Trust Fund (1-14-11) Defendants' First Set of Interrogatories to Class Member The West Virginia Laborers Trust Fund (1-14-11) Defendants' First Set of Document Requests to Class Member The West Virginia Laborers Trust Fund (1-14-11) Defendants' Revised Document Requests to Class Member The West Virginia Laborers Trust Fund (2-3-11) Defendants' Revised Interrogatories to Class Member The West Virginia Laborers Trust Fund (2-3-11) 	 Withdrawn Withdrawn Withdrawn The West Virginia Laborers Trust Fund's Response and Objections to Defendants' Revised Document Requests (3-8-11), and subsequent production of documents The West Virginia Laborers Trust Fund's Response and Objections to Defendants' Revised Interrogatories (3-8-11)
119	Thrivent Financial for	1) Notice of Rule 30(b)(6) Deposition to Class Member Thrivent Financial for Lutherans (1-13-11)	 Withdrawn Withdrawn

	Entity	Discovery Served		Response
	Lutherans	2) Defendants' First Set of Interrogatories to Class Member Thrivent Financial	1 3)	Withdrawn
		for Lutherans (1-13-11)		
		3) Defendants' First Set of Document Requests to Class Member Thrivent		
		Financial for Lutherans (1-13-11)		
		4) Defendants' Revised Document Requests to Class Member Thrivent		
		Financial for Lutherans (2-3-11)		
		5) Defendants' Revised Interrogatories to Class Member Thrivent Financial		
100		for Lutherans (2-3-11)	4	
120	Trinity	1) Notice of Rule 30(b)(6) Deposition to Class Member Trinity Investment		Withdrawn
	Investment	Management Corp. (1-13-11)	1 /	Withdrawn
	Management	2) Defendants' First Set of Interrogatories to Class Member Trinity Investment	t 3)	Withdrawn
	Corp.	Management Corp. (1-13-11)		
		3) Defendants' First Set of Document Requests to Class Member Trinity		
		Investment Management Corp. (1-13-11)Defendants' Revised Document Requests to Class Member Trinity		
		4) Defendants' Revised Document Requests to Class Member Trinity Investment Management Corp. (2-3-11)		
		5) Defendants' Revised Interrogatories to Class Member Trinity Investment		
		Management Corp. (2-3-11)		
121	Tweedy,	1) Notice of Rule 30(b)(6) Deposition to Class Member Tweedy, Browne	1)	Withdrawn
	Browne	Company, LLC (1-13-11)	1 ′	Withdrawn
	Company, LLC	2) Defendants' First Set of Interrogatories to Class Member Tweedy, Browne	1 /	Withdrawn
	,, ,	Company, LLC (1-13-11)		
		3) Defendants' First Set of Document Requests to Class Member Tweedy,		
		Browne Company, LLC (1-13-11)		
		4) Defendants' Revised Document Requests to Class Member Tweedy,		
		Browne Company, LLC (2-3-11)		
		5) Defendants' Revised Interrogatories to Class Member Tweedy, Browne		
		Company, LLC (2-3-11)		
122	U.S. Bancorp	1) Notice of Rule 30(b)(6) Deposition to Class Member U.S. Bancorp (1-20-		Withdrawn
		11)		Withdrawn
		2) Defendants' First Set of Interrogatories to Class Member U.S. Bancorp (1-	1 /	Withdrawn
		20-11)		U.S. Bancorp's Answers to Defendants' Revised
		3) Defendants' First Set of Document Requests to Class Member U.S.		Document Requests (3-21-11)
		Bancorp (1-20-11) 4) Defendants' Revised Document Requests to Class Member U.S. Bancorp		U.S. Bancorp's Answers to Defendants' Revised Interrogatories (3-21-11)
		(2-3-11)		interrogatories (3-21-11)
		5) Defendants' Revised Interrogatories to Class Member U.S. Bancorp (2-3-		
		11)		
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	Entity	Discovery Served	Response
123	UBS AG	1) Notice of Rule 30(b)(6) Deposition to Class Member UBS AG (1-20-11)	1) Withdrawn
		2) Defendants' First Set of Interrogatories to Class Member UBS AG (1-20-	2) Withdrawn
		11)	3) Withdrawn
		3) Defendants' First Set of Document Requests to Class Member UBS AG (1-	
		20-11)	
		4) Defendants' Revised Document Requests to Class Member UBS AG (2-3-11)	
		5) Defendants' Revised Interrogatories to Class Member UBS AG (2-3-11)	
124	UBS Global	1) Notice of Rule 30(b)(6) Deposition to Class Member UBS Global Asset	1) Withdrawn
	Asset	Management, Inc. (1-20-11)	2) Withdrawn
	Management,	2) Defendants' First Set of Interrogatories to Class Member UBS Global Asset	3) Withdrawn
	Inc.	Management, Inc. (1-20-11)	4) UBS Global Asset Management (Americas) Inc.
		3) Defendants' First Set of Document Requests to Class Member UBS Global	Document Request Responses (3-17-11)
		Asset Management, Inc. (1-20-11)	5) UBS Global Asset Management (Americas) Inc.
		4) Defendants' Revised Document Requests to Class Member UBS Global	Interrogatory Responses (3-17-11)
		Asset Management, Inc. (2-3-11) 5) Defendants' Pavined Intermediate Class Marshau IIBS Clabel Asset	
		5) Defendants' Revised Interrogatories to Class Member UBS Global Asset Management, Inc. (2-3-11)	
125	UBS Securities	1) Notice of Rule 30(b)(6) Deposition to Class Member UBS Securities LLC	1) Withdrawn
120	LLC	(1-24-11)	2) Withdrawn
		2) Defendants' First Set of Interrogatories to Class Member UBS Securities	3) Withdrawn
		LLC (1-24-11)	4) Objections of UBS Securities LLC to Defendant
		3) Defendants' First Set of Document Requests to Class Member UBS	Household International Inc.'s Inc. Document
		Securities LLC (1-24-11)	Requests (3-21-11)
		4) Defendants' Revised Document Requests to Class Member UBS Securities	5) Objections of UBS Securities LLC to Defendant
		LLC (2-3-11)	Household International Inc.'s Inc. Interrogatories
		5) Defendants' Revised Interrogatories to Class Member UBS Securities LLC	(3-21-11)
126	USAA	(2-3-11) 1) Notice of Pule 20(b)(6) Deposition to Class Member US A A Investment	1) Withdrawn
120	Investment	1) Notice of Rule 30(b)(6) Deposition to Class Member USAA Investment Management Co. (1-13-11)	 Withdrawn Withdrawn
	Management	2) Defendants' First Set of Interrogatories to Class Member USAA Investment	3) Withdrawn
	Co.	Management Co. (1-13-11)	4) USAA Investment Management Company's
		3) Defendants' First Set of Document Requests to Class Member USAA	Responses and Objections to Defendants' Revised
		Investment Management Co. (1-13-11)	Document Requests (3-21-11)
		4) Defendants' Revised Document Requests to Class Member USAA	5) USAA Investment Management Company's
		Investment Management Co. (2-3-11)	Responses and Objections to Defendants' Revised
		5) Defendants' Revised Interrogatories to Class Member USAA Investment	Interrogatories (3-21-11)
		Management Co. (2-3-11)	

	Entity	Discovery Served	Response
127	Value Line Inc.	 Notice of Rule 30(b)(6) Deposition to Class Member Value Line Inc. (1-13-11) Defendants' First Set of Interrogatories to Class Member Value Line Inc. (1-13-11) Defendants' First Set of Document Requests to Class Member Value Line Inc. (1-13-11) Defendants' Revised Document Requests to Class Member Value Line Inc. (2-3-11) Defendants' Revised Interrogatories to Class Member Value Line Inc. (2-3-11) 	1) Withdrawn 2) Withdrawn 3) Withdrawn
128	Vanguard Group, Inc.	 Notice of Rule 30(b)(6) Deposition to Class Member Vanguard Group, Inc. (1-13-11) Defendants' First Set of Interrogatories to Class Member Vanguard Group, Inc. (1-13-11) Defendants' First Set of Document Requests to Class Member Vanguard Group, Inc. (1-13-11) Defendants' Revised Document Requests to Class Member Vanguard Group, Inc. (2-3-11) Defendants' Revised Interrogatories to Class Member Vanguard Group, Inc. (2-3-11) Notice of Rule 30(b)(6) Deposition to The Vanguard Group, Inc. (4-4-11) 	 Withdrawn Withdrawn Withdrawn The Vanguard Group Inc.'s Responses and Objections to Defendants' Revised Document Requests (3-21-11), and subsequent production of documents The Vanguard Group Inc.'s Responses and Objections to Defendants' Revised Interrogatories (3-21-11)
129	Virtus Investment Partners, Inc.	1) Notice of Rule 30(b)(6) Deposition to Virtus Investment Partners, Inc. (4-21-11)	1) Virtus Investment Partners, Inc.'s Objections to Defendants' Notice of Rule 30(b)(6) Deposition (5-3-11); Deposition held (5-12-11)
130	Wellington Management Co.	 Notice of Rule 30(b)(6) Deposition to Class Member Wellington Management Co. (1-13-11) Defendants' First Set of Interrogatories to Class Member Wellington Management Co. (1-13-11) Defendants' First Set of Document Requests to Class Member Wellington Management Co. (1-13-11) Defendants' Revised Document Requests to Class Member Wellington Management Co. (2-3-11) Defendants' Revised Interrogatories to Class Member Wellington Management Co. (2-3-11) Notice of Subpoena [to Wellington Management Company]; Subpoena to Produce Documents, Information, or Objects or to Permit Inspection of Premises (3-22-11) 	1) Withdrawn 2) Withdrawn 3) Withdrawn 4) Withdrawn (2-18-11) 5) Withdrawn (2-18-11) 6) Letter response and objections to document subpoena (4-12-11)
131	Wells Fargo &	1) Notice of Rule 30(b)(6) Deposition to Class Member Wells Fargo &	1) Withdrawn

Entity	Discovery Served	Response
Company	Company (1-20-11)	2) Withdrawn
	2) Defendants' First Set of Interrogatories to Class Member Wells Fargo &	3) Withdrawn
	Company (1-20-11)	6) Produced documents
	3) Defendants' First Set of Document Requests to Class Member Wells Fargo	7) Deposition postponed (5-20-11)
	& Company (1-20-11)	
	4) Defendants' Revised Notice of Rule 30(b)(6) Deposition to Class Member	
	Wells Fargo & Company (2-14-11)	
	5) Defendants' Revised Interrogatories to Class Member Wells Fargo &	
	Company (2-14-11)	
	6) Defendants' Revised Document Requests to Class Member Wells Fargo &	
	Company (2-14-11)	
	7) Second Revised Notice of Rule 30(b)(6) Deposition to Class Member Wells	
	Fargo & Company (4-21-11)	