

UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF ILLINOIS  
EASTERN DIVISION

LAWRENCE E. JAFFE PENSION PLAN, On Behalf)	)	Lead Case No. 02-C-5893
of Itself and All Others Similarly Situated,	)	(Consolidated)
	)	
Plaintiff,	)	<u>CLASS ACTION</u>
	)	
vs.	)	Judge Ronald A. Guzman
	)	Magistrate Judge Nan R. Nolan
HOUSEHOLD INTERNATIONAL, INC., et al.,	)	
	)	
Defendants.	)	
	)	
	)	
	)	
	)	

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**PROOF OF CLAIM**

**GENERAL INSTRUCTIONS**

1. To recover as a member of the Class based on your claims in the action entitled *Lawrence E. Jaffe Pension Plan v. Household International, Inc.* (the "Litigation"), you must complete and, on page 6 hereof, sign this Proof of Claim. If you fail to submit a properly addressed (as set forth in Paragraph 3 below) Proof of Claim, your claim may be rejected and you may be precluded from any recovery pursuant to the verdict entered against Household International, Inc. ("Household") and certain individuals and from the Settlement Fund created in connection with the settlement with Arthur Andersen LLP.

2. Submission of this Proof of Claim, however, does not assure that you will share in the proceeds of the verdict or the Andersen settlement in the Litigation.

**3. YOU MUST MAIL YOUR COMPLETED AND SIGNED PROOF OF CLAIM POSTMARKED ON OR BEFORE MAY 24, 2011, ADDRESSED AS FOLLOWS:**

*Household Securities Litigation*  
c/o Gilardi & Co. LLC  
P.O. Box 808003  
Petaluma, CA 94975-8003  
1-888-292-0360

4. If you are a member of the Class and you did not timely request exclusion, you are bound by the terms of any judgment entered in the Litigation **WHETHER OR NOT YOU SUBMIT A PROOF OF CLAIM.**

5. If you did **NOT** purchase Household common stock during the period from March 23, 2001 through October 11, 2002 **DO NOT** submit a Proof of Claim form.

**CLAIMANT IDENTIFICATION**

1. If you purchased Household common stock and held the certificate(s) in your name, you are the beneficial purchaser as well as the record purchaser. If, however, you purchased Household common stock and the certificate(s) were registered in the name of a third party, such as a nominee or brokerage firm, you are the beneficial purchaser and the third party is the record purchaser.

2. Use Part I of this form entitled "Claimant Identification" to identify each purchaser of record, if different from the beneficial purchaser, of Household common stock which forms the basis of this claim. **THIS CLAIM MUST BE SUBMITTED BY THE ACTUAL BENEFICIAL PURCHASER(S), OR THE LEGAL REPRESENTATIVE OF SUCH PURCHASER(S), OF HOUSEHOLD COMMON STOCK UPON WHICH THIS CLAIM IS BASED.**

3. All joint purchasers must sign this claim. Executors, administrators, guardians, conservators, and trustees must complete and sign this claim on behalf of persons represented by them and their authority must accompany this claim and their titles or capacities must be stated. The social security (or taxpayer identification) number and telephone number of the beneficial owner may be used in verifying the claim. Failure to provide the foregoing information could delay verification of your claim or result in rejection of the claim.

## CLAIM FORM

1. Use Part II of this form entitled "Schedule of Transactions in Household Common Stock" to supply all required details of your transaction(s) in Household common stock. If you need more space or additional schedules, attach separate sheets giving all of the required information in substantially the same format. Sign and print or type your name on each additional sheet.

2. On the schedules, provide all of the requested information with respect to **all** of your purchases and **all** of your sales of Household common stock which took place at any time between the dates indicated on the claim form, whether such transactions resulted in a profit or a loss. Failure to report all such transactions may result in the rejection of your claim.

3. List each transaction separately and in chronological order, by trade date, beginning with the earliest. You must accurately provide the month, day, and year of each transaction you list.

4. Brokerage commissions and transfer taxes paid by you in connection with your purchase and sale of Household common stock should be included in computing the "total purchase price" and deducted from the "total sales price."

5. Brokers' confirmations or other documentation of your transactions in Household common stock should be attached to your claim. Failure to provide this documentation could delay verification of your claim and result in rejection of your claim.

6. NOTICE REGARDING ELECTRONIC FILES: Certain claimants with large numbers of transactions may request, or may be requested, to submit information regarding their transactions in electronic files. All claimants **MUST** submit a manually signed paper Proof of Claim form whether or not they also submit electronic copies. If you wish to file your claim electronically, you must contact the Claims Administrator at 1-888-292-0360 or visit their website at [www.gilardi.com](http://www.gilardi.com) to obtain the required file layout. No electronic files will be considered to have been properly submitted unless the Claims Administrator issues to the claimant a written acknowledgment of receipt and acceptance of electronically submitted data.

**7. YOU MUST ANSWER THE QUESTION IN PART III OF THE CLAIM FORM IN ORDER TO BE ELIGIBLE TO RECOVER PURSUANT TO THE VERDICT. IF YOU HAVE ANY QUESTIONS CONCERNING PART III OR ANY OTHER PART OF THE CLAIM FORM YOU SHOULD CONTACT COUNSEL FOR THE PLAINTIFF:**

Robbins Geller Rudman & Dowd LLP  
Michael Dowd  
Keith Park  
Spencer Burkholz  
Daniel Drosman  
*Household Securities Litigation*  
655 W. Broadway, Suite 1900  
San Diego, CA 92101  
or  
HouseholdFraud@rgrdlaw.com

Official  
Office  
Use  
Only



UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF ILLINOIS  
EASTERN DIVISION

**Must Be Postmarked  
No Later Than  
May 24, 2011**

*Household Securities Litigation*  
Lead Case No. 02-C-5893

**PROOF OF CLAIM AND RELEASE**

**HSHD2**

**Please Type or Print in the Boxes Below**  
Do **NOT** use Red Ink, Pencil, or Staples

**PART I: CLAIMANT IDENTIFICATION**

Last Name  M.I.  First Name

Last Name (Co-Beneficial Owner)  First Name (Co-Beneficial Owner)

IRA  Joint Tenancy  Employee  Individual  Other \_\_\_\_\_ (specify)

Company Name (Beneficial Owner - If Claimant is not an Individual) or Custodian Name if an IRA

Trustee/Asset Manager/Nominee/Record Owner's Name (if Different from Beneficial Owner Listed Above)

Account#/Fund# (Not Necessary for Individual Filers)

Social Security Number  —  —  or Taxpayer Identification Number  —

Telephone Number (Work)  —  —  Telephone Number (Home)  —  —

Email Address

**MAILING INFORMATION**

Address

Address

City  State  Zip Code  —

Foreign Province  Foreign Postal Code  Foreign Country Name/Abbreviation

FOR CLAIMS PROCESSING ONLY	PB <input type="text"/>	PC <input type="text"/>	<input type="radio"/> ND <input type="radio"/> EMPLOYEE <input type="radio"/> IHI	<input type="radio"/> NS <input type="radio"/> NTIN	<input type="radio"/> ICI <input type="radio"/> OTHER	<input type="radio"/> OPT <input type="radio"/> MRG <input type="radio"/> BOTH	<input type="text"/> M M / <input type="text"/> D D / <input type="text"/> Y Y Y Y	FOR CLAIMS PROCESSING ONLY
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**PART II. SCHEDULE OF TRANSACTIONS IN HOUSEHOLD COMMON STOCK**

Proof of Holding Enclosed?

A. Number of shares of Household common stock held at the close of trading on March 22, 2001:

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Y  
 N

B. Purchases of Household common stock (March 23, 2001 – October 11, 2002, inclusive):

**PURCHASES**

Trade Date(s) of Shares (List Chronologically)	Number of Shares Purchased	Total Purchase Price (Including Commissions, Taxes and Fees) <i>Please round off to the nearest whole dollar</i>	Proof of Purchase Enclosed?
M M / D D / Y Y Y Y		\$	
1. <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	<input type="text"/> <input type="text"/> <input type="radio"/> Y <input type="radio"/> N
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C. Sales of Household common stock (March 23, 2001 – January 8, 2003, inclusive):

**SALES**

Trade Date(s) of Shares (List Chronologically)	Number of Shares Sold	Total Sales Price (Excluding Commissions, Taxes and Fees) <i>Please round off to the nearest whole dollar</i>	Proof of Sales Enclosed?
M M / D D / Y Y Y Y		\$	
1. <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	<input type="text"/> <input type="text"/> <input type="radio"/> Y <input type="radio"/> N
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Proof of Holding Enclosed?

D. Number of shares of Household common stock held at the close of trading on January 8, 2003:

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Y  
 N

*If you require additional space, attach extra schedules in the same format as above. Sign and print your name on each additional page.*

**YOU MUST READ AND SIGN THE RELEASE ON PAGE 6. FAILURE TO SIGN THE RELEASE MAY RESULT IN A DELAY IN PROCESSING OR THE REJECTION OF YOUR CLAIM.**



**PART III: YOU MUST ALSO ANSWER THE FOLLOWING QUESTION IN ORDER TO BE ELIGIBLE FOR RECOVERY ON YOUR CLAIM PURSUANT TO THE VERDICT**

1. The jury in this case found that certain public statements issued about Household International, Inc. were false or misleading and that the price you paid for Household stock was inflated from March 23, 2001 – October 11, 2002 due to the defendants' violation of the securities laws. The jury found that Household's stock was inflated by the amounts on Exhibit A to the Notice of Verdict which accompanies this form.

**Question: If you had known at the time of your purchase of Household stock that defendants' false and misleading statements had the effect of inflating the price of Household stock and thereby caused you to pay more for Household stock than you should have paid, would you have still purchased the stock at the inflated price that you paid? No  Yes .**

**(A "No" answer to this question means you may be entitled to share in the recovery. A "Yes" answer to this question means you may be subject to additional requests for information and may or may not recover any money.)**

**TO RECOVER FROM THE SETTLEMENT FUND YOU MUST READ AND SIGN THIS FORM ON PAGE 6.**

**SUBMISSION TO JURISDICTION OF COURT AND ACKNOWLEDGEMENTS**

I submit this Proof of Claim under the terms of the Andersen Settlement and orders of the Court. I also submit to the jurisdiction of the United States District Court for the Northern District of Illinois with respect to my claim as a Class Member. I further acknowledge that I am bound by and subject to the terms of any judgment that may be entered in the Litigation. I agree to furnish additional information to Plaintiffs' Counsel or the Claims Administrator to support this claim if required to do so. I have not submitted any other claim covering the same purchases or sales of Household common stock and know of no other person having done so on my behalf.

I hereby warrant and represent that I have not assigned or transferred or purported to assign or transfer, voluntarily or involuntarily, any claim covered by this Proof of Claim form or any portion thereof.



I (WE) DECLARE UNDER PENALTY OF PERJURY UNDER THE LAWS OF THE UNITED STATES OF AMERICA THAT ALL OF THE FOREGOING INFORMATION SUPPLIED ON THIS PROOF OF CLAIM FORM BY THE UNDERSIGNED IS TRUE AND CORRECT.

Executed this \_\_\_\_\_ day of \_\_\_\_\_ in \_\_\_\_\_  
(Month/Year) (City/State/Country)

\_\_\_\_\_  
(Sign your name here)

\_\_\_\_\_  
(Sign your name here)

\_\_\_\_\_  
(Type or print your name here)

\_\_\_\_\_  
(Type or print your name here)

\_\_\_\_\_  
(Capacity of person(s) signing, e.g.,  
Beneficial Purchaser or Acquirer, Executor or Administrator)

\_\_\_\_\_  
(Capacity of person(s) signing, e.g.,  
Beneficial Purchaser or Acquirer, Executor or Administrator)

**ACCURATE CLAIMS PROCESSING TAKES A SIGNIFICANT AMOUNT OF TIME.  
THANK YOU FOR YOUR PATIENCE.**

Reminder Checklist:

1. Please sign the above declaration.
2. Remember to attach supporting documentation, if available.
3. Remember to answer the question in Part III.
4. Do not send original stock certificates.
5. Keep a copy of your claim form and all supporting documentation for your records.
6. If you desire an acknowledgment of receipt of your claim form please send it Certified Mail, Return Receipt Requested.
7. If you move, please send the Claims Administrator your new address.

